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82-5648

IN THE SUPREME COURT OF THE UNITED STATES

No. A-287

MARTSAY BOLDER,

Petitioner,

v.

STATE OF MISSOURI,

Respondent.

PETITION FOR WRIT OF CERTIORARI TO THE SUPREME COURT OF THE STATE OF MISSOURI

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QUESTIONS PRESENTED FOR REVIEW

1. Whether the judgment of death where the jury went beyond the statutorily authorized aggravating circumstance is unconstitutional under the due process and equal protection clauses of Amendments Five and Fourteen and the cruel and unusual punishment clause of Amendment Eight, where demonstrably the sentencing jury went beyond the controls of the Court's instructions and the law and created its own aggravating circumstance.

2. Whether the judgment of death violates the due process and equal protection clauses of Amendments Five and Fourteen and the cruel and unusual punishment clause of Amendment Eight: (a) where the death penalty is imposed based solely upon the defendant's status as a person lawfully confined, and (b) whether the penalty imposed is unconstitutionally excessive and disproportionate for this defendant when compared to punishments imposed under the same statute in other Missouri cases.

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MARTSAY BOLDER,	)	
	)	
Petitioner,	)	
	)	
vs.	)	No. A-287
	)	
STATE OF MISSOURI,	)	
	)	
Respondent.	)	

PETITION FOR WRIT OF CERTIORARI TO THE SUPREME COURT OF THE STATE OF MISSOURI

TO: THE HONORABLE, THE CHIEF JUSTICE and ASSOCIATE JUSTICES OF THE SUPREME COURT OF THE UNITED STATES

Martsay Bolder, the Petitioner herein, prays that a Writ of Certiorari issue to review the judgment of the Supreme Court of the State of Missouri, entered in the above entitled cause on July 6, 1982. Petitioner's Motion for Rehearing was denied on August 2, 1982.

OPINIONS BELOW

The opinion of the Supreme Court of the State of Missouri is published at 635 S.W.2d 673 (Mo. 1982). It is Appendix A attached hereto.

JURISDICTION

The judgment of the Supreme Court of the State of Missouri affirming Petitioner's conviction of Capital Murder and affirming and assessing his penalty at death was entered July 6, 1982. Petitioner duly filed his Motion for Rehearing which was denied by the Supreme Court on August 2, 1982 (Appendix B). The jurisdiction of this Court is invoked under 28 U.S.C. 1257(3).

CONSTITUTIONAL PROVISIONS AND STATUTES INVOLVED

The constitutional provisions involved are the Fifth, Eighth, and Fourteenth Amendments to the Constitution of the United States. The statutes involved are 565.012.2(1-10), and 565.014.3, of the Revised Statutes of Missouri, 1978.

STATEMENT OF THE CASE

Petitioner, a 21 year old black male at the time of the offense, was tried and convicted of capital murder and sentenced to death under Section 565.001, RSMo. (1978) after a two-day trial which commenced on May 5, 1980.

The murder occurred in the Missouri State Penitentiary in Jefferson City, Missouri, where Bolder and the deceased, Theron King, were both inmates. The alleged attack occurred on March 14, 1979, and the decedent died on April 28, 1979, forty-five (45) days after the attack.

The decedent, a black male, had been a cellmate of defendant Bolder during their incarceration. The petitioner and decedent had a lengthy history of animosity which allegedly involved information about the death of petitioner's brother and name-calling by decedent King regarding petitioner's alleged homosexual activities.

Bolder was serving a life sentence in the Missouri State Penitentiary for first-degree murder.

The state's evidence was that on March 14, 1979, at approximately 3:15 p.m., vocational teacher Kenneth Giboney and Arthur Luecke entered the prison yard near a building indentified at 5 A and B. Giboney observed what appeared to be two inmates fighting and told Luecke to stop the truck. They saw inmate King lying against a

wall in a partially sitting position and another inmate, later identifed as Petitioner, making striking motions at King. An unidentified third inmate approached to within two or three feet of the pair and then dropped back with his arms in the air. The inmate later identified as petitioner straightened up, backed away from King, and headed toward the entrance of 5 A and B building. No others were present at the time and neither Giboney nor Luecke saw a weapon.

Petitioner was observed entering building 5 A and B. Another corrections officer, Bert Johnson, then arrived and entered the building. He observed Petitioner wiping blood off his hands and observed blood stains on Petitioner's clothing.

A weapons search was conducted and a knife with fresh blood on it was found behind a set of padlocked metal doors 15 to 20 feet down a hallway in the 5 A and B building.

Corrections officer Homer Jeffries who had arrived at the scene said he had observed King coming towards him obviously bleeding. He testified that he took custody of him and "asked him who stuck him." No statements from inmate King were offered at any time.

King was taken to the penitentiary hospital and examined by a penitentiary physician, Dr. Richard Bowers, who called in a surgeon, Dr. Alan Doerhoff. King had a one-inch laceration on his right shoulder, a two-inch laceration on his back, a one and one-half inch laceration on his right wrist, and a three inch long stab wound in his abdomen.

Dr. Doerhoff arrived approximately 45 minutes after the call and commenced surgery, including a heart massage,which lasted for approximately an hour and fifteen minutes.

Dr. Doerhoff held the inferior vena cava shut with his hand while King was moved into the operating room because he said he did not believe he had time to establish a sterile condition and still save King's life. He performed the operation on King without wearing a mask or cap, although he did wear sterile surgical gloves.

King was transferred to the University of Missouri Medical Center, again had surgery on March 26, 1979, for pericarditis, an inflammation of the sac surrounding the heart, and again on April 3, 1979, for a heart attack. On April 14, 1979, King had a fourth operation due to infection preventing the scar tissue from holding one of the knife wounds closed. He died on April 28, 1979, of a generalized infection.

After examination of the immediate area of the stabbing, Petitioner was taken to the office of Urban Locke, a penitentiary investigator, for questioning. Locke saw red stains on Petitioner's clothing and a cut on Petitioner's right index finger. Locke asked Petitioner if he wanted to talk and Petitioner said that he would talk only to Lt. Leroy Looten. When Looten appeared, Locke left at the request of Petitioner. Looten spoke with Petitioner and told him to tell the truth because it would be better to do so. Thereafter, Investigator Locke returned to the room and Petitioner made an oral statement. This oral statement was offered in the sentencing phase of trial. Petitioner's statement related King's constant harassment of he and his cellmate, Lindsay, regarding engagment in homosexual activities.

Petitioner offered no evidence at either phase of trial.

At the sentencing phase of the trial, the court gave the following instructions (among others):

Instruction No. 18:

In determining the punishment to be assessed against the defendant for the murder of Theron King, you must first unanimously determine whether at the time of the murder of Theron King the defendant was in the lawful custody of a place of confinement.

You are further instructed that the burden rests upon the state to prove beyond a reasonable doubt the foregoing circumstance. The defendant is not required to prove or disprove anything.

Therefore, if you donot unanimously find from the evidence beyond a reasonable doubt that the foregoing circumstance exists and that it is an aggravating circumstance, you must return a verdict fixing the punishment of the defendant at imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a minimum of fifty years of his sentence.

Instruction No. 19:

If you find and believe from the evidence beyond a reasonable doubt that the circumstance submitted in Instruction No. 18 exists and that it is an aggravating circumstance, it will then become your duty to decide whether a sufficient aggravating circumstance or circumstances exists to warrant the imposition of death as punishment of defendant. In deciding that question you may consider all of the evidence relating to the murder of Theron King.

You may also consider the aggravating circumstance referred to in Instruction No. 18 which you found beyond a reasonable doubt.

You may also consider the following circumstance if you find from the evidence beyond a reasonable doubt that it exists and that it is an aggravating circumstance: that the defendant has a prior criminal conviction for murder in the first degree.

If you do not unanimously find from the evidence beyond a reasonable doubt that a sufficient aggravating circumstance or circumstances exist to warrant the imposition of death as defndant's punishment, you must return a verdict fixing his punishment at imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a minimum of fifty years of his sentence.

Instruction No. 22:

You will be provided with forms of verdict for your convenience. You cannot return any verdict as the verdict of the jury unless all twelve jurors concur in and agree to it, but it should be signed by your foreman alone.

If you decide, after considering all of the evidence and instructions of law given to you, that the defendant must be put to death for the murder of Theron King, your foreman must write into your verdict the aggravating circumstance submitted in Instruction No. 18 which you found beyond a reasonable doubt. In addition, your foreman must write into your verdict the other specifically mentioned aggravating circumstance submitted in

Instruction No. 19 which you found beyond a reasonable doubt.

If, after considering all of the evidence and instructions of law, you decide that the defendant must be punished for the murder of Theron King by imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a minimum of fifty years of his sentence, your foreman will sign the verdict form so fixing the punishment.

When you have concluded your deliberations you will complete the applicable form to which all twelve jurors agree and return it with all unused forms and the written instructions of the court.

If, after due deliberation, you are unable to agree upon the punishment, your foreman will sign the verdict form so stating. In such case, the court will fix the defendant's punishment at imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a minimum of fifty years of his sentence. You will bear in mind, however, that under the law it is your primary duty and responsibility to fix the punishment.

Pursuant to said instructions, the jury was given a verdict form upon which to enter their verdict.

The jury was instructed upon only one statutory aggravating circumstance in the penalty phase of trial, namely that "...defendant was in the lawful custody of a place of confinement." (Instruction No. 18). After the jury deliberated, they returned their verdict assessing the punishment at death and returned their verdict in the following form:

Jurors: Use this form only if the punishment fixed by you is death. See Instruction No. 22 for directions as to what must be written into the verdict form if the death penalty is imposed. Use the reverse side of this form if necessary. The foreman's signature must appear at the end of the matter which you designate in writing as the aggravating circumstance or circumstances which all twelve jurors found beyond a reasonable doubt.

We, the jury, having found the defendant guilty of the capital murder of Theron Xing, fix the defendant's punishment at death, and we designate the following aggravating circumstance or circumstances which we find beyond a reasonable doubt:

We have unanimously determined at the time of the murder of Theron King the defendant was in the lawful custody of a place of confinement submitted in Instruction No. 18. We have also found without reasonable doubt that the defendant has a prior criminal conviction for murder in the first degree, and appears the life sentence was no deterrent to further crime.

Foreman, Victor O. Whitaker.

Defendant's post-trial motions were denied and sentence of death imposed.

Petitioner duly filed his Notice of Appeal on July 18, 1980, and submitted and argued his case to the Missouri Supreme Court.

UNCONSTITUTIONALITY RAISED

The unconstitutionality of the sentence of death under the cruel and unusual punishment and the due process clause of the United States Constitution upon the "aggravating factor" charged in Instruction No. 18, the jury surplusage on the verdict form, and the excessiveness and disprportionality of this verdict upon the sole aggravating circumstance that defendant was confined in a lawful place of confinement were raised before the trial court in petitioner's Motion for New Trial and in the Missouri Supreme Court by appellant's brief.

See Opinion (Appendix A), points 11, 12, page 682 through 683, point 13, page 683, and point 16, page 684, 635 S.W.2d 673 et seq.

The Missouri Supreme Court, pursuant to Section 565.014.3(3), RSMo., requires the court to determine whether the sentence of death is excessive or disproportionate in this case and establishes the basis for a challenge of the constitutionality of the death sentence under the uniformity requirement of Amendment 8 of the United States Constitution.

REASONS FOR GRANTING THE WRIT

The Supreme Court of Missouri has misapplied or ignored this court's prior holdings in at least two respects:

1. Where the jury's verdict specifically set out as an aggravating circumstance matters not specifically pleaded, charged, or proved by the state and outside the aggravating circumstances contained in Missouri's statutory scheme, Section 565.012.2(1-10), RSMo., the Missouri Supreme Court has ignored this court's holding in Gregg v. Georgia, 428 U.S. 153 (1976), mandating that the sentencer's discretion in capital cases be "directed" and "limited".

2. The Missouri statutory scheme permitting the statutory aggravating circumstance specified in Section 565.012.2(9), RSMo., i.e., the status of petitioner as in a lawful place of confinement, subjects petitioner, merely by his status, to a more severe penalty than any other member of society, without the application of the strict scrutiny test which should certainly be the test when death is to be applied.

The Missouri Supreme Court has, by upholding the verdict of death in this case, permitted a jury to go far beyond its bounds as permitted by both statute and rulings of this court, permitted a death penalty to stand upon a factor which was not found beyond a reasonable doubt and upheld the death penalty based merely upon the status of an individual and not the nature of the crime. Absent guidelines by this court, the Missouri Supreme Court will permit jury verdicts to run rampant and the standard of proof beyond a reasonable doubt to be diluted. A review of this judgment of death will provide this court with the opportunity not only to right the wrong done in this case, but to make clear to the court's of Missouri and those other states with similar statutes the effects of Gregg v. Georgia, supra, 428 U.S. at 201, Godfrey v.

Georgia, 446 U.S. 420 (1980), and Eddings v. Oklahoma, No.80-5727, 30 Cr. L. 3047 n. 3 (1982) that no judgment of death based upon wide jury discretion and faulty findings is constitutionally permissible.

The resolution of these questions is not only a matter of life or death to petitioner, it would also be the means of resolving similar questions in cases now pending and yet to be filed in Missouri and throughout the United States. This court must deal with what standard of proof is necessary for each and every element before the death penalty can be inflicted, whether status, as an aggravating circumstance, is enough to impose the death penalty, and just how far the jury can go in creating its own reasons as to why the death penalty is appropriate.

Petitioner has diligently pursued and exhausted all of his remedies in the state courts, and the only remaining form in which he may seek to vindicate his rights and avoid his death is in this Court through its grant of this Writ of Certiorari.

ARGUMENT

THE JUDGMENT OF DEATH IS EXCESSIVE AND DISPROPORTIONATE AND THEREFORE VIOLATIVE OF THE FIFTH, EIGHTH, AND FOURTEENTH AMENDMENTS WHERE THE JURY'S VERDICT SPECIFICALLY SET OUT AS AN AGGRAVATING CIRCUMSTANCE MATTERS NOT SPECIFICALLY PLEADED, CHARGED, OR PROVED BY THE STATE AND OUTSIDE OF THE AGGRAVATING CIRCUMSTANCES CONTAINED IN MISSOURI'S STATUTORY SCHEME, RSMo. 565.012.2 (1-10).

The jury was instructed of the findings necessary to impose the death penalty and given a verdict form upon which to enter their verdict.

The jury was instructed upon only one statutory aggravating circumstance in the penalty phase of the

trial, namely that "...defendant was in the lawful custody of a place of confinement." (Instruction No. 18). After the jury deliberated, they returned their verdict assessing the punishment at death as set forth above on page

The Supreme Court of the United States in Furman v. Georgia, 408 U.S. 238 (1972) held that the death penalty as imposed by Georgia and in a number of states violated the Eighth and Fourteenth Amendments to the United States constitution and constituted cruel and unusual punishment in that the death penalty statutes left the trier of facts with an unbridled discretion allowing death to be inflicted in an arbitrary and capricious manner.

Thereafter, numerous state legislatures attempted to reimpose the death penalty through various schemes. The statutes which have reimposed the death penalty and have been upheld on appeal have been those in which the death penalty was not authorized in every homicide, but limited to a narrow number of circumstances in which the homicide was committed in such a manner as to constitute "an aggravating circumstance." Gregg v. Georgia, supra.

In Gregg, the court considered the appeal of petitioner Gregg who had been convicted of armed robbery and murder and sentenced to death pursuant to the Georgia bifurcated sentencing procedure statute which is substantially similar to the Missouri statute. The court was primarily concerned with whether or not there was adequate control of and guidance to the jury in rendering its decision in a death penalty case. The court stated:

> The idea that a jury should be given guidance in its decision-making is also hardly a novel proposition. Juries are invariably given careful instructions on the law and how to apply it before they are authorized to decide the merits of a lawsuit. It would be virtually unthinkable to

follow anyother course in a legal system that has traditionally operated by following prior precedents and fixed rules of law. Gregg at 192, 193.

The court further held that the sentence of death could only be imposed under the statute if a statutory aggravating circumstance was found by the jury beyond a reasonable doubt. While the jury, before imposing death, must be able to consider any relevant mitigating circumstance, the jury is limited to its imposition of the death penalty to those cases in which an aggravating circumstance authorized by statute is found. (See Lockett v. Ohio, 438 U.S. 568 (1978) and Godfrey v. Georgia, 466 U.S. 420 (1980)).

In Godfrey, the United States Supreme Court took another look at the Georgia statute and not only held that the jury must find an aggravating circumstance, but held that the appellate court must examine the facts to determine whether the aggravating circumstance was proved by the evidence. The court in Godfrey held that the statute must be construed in an extremely narrow manner if the death penalty is to be upheld and found that the evidence did not constitute sufficient aggravation.

In the case at bar, the jury acted outside the scope of its instruction and added to its verdict what it considered to be an aggravating circumstance, i.e., whether or not a prior sentence had a deterrent effect. This was an exercise of discretion beyond the scope of either the statutes or the court's instructions.

We do not know whether the jury meant that it wished to deter anyone who might be inclined to commit a homicide in the future or whether it was referring to this particular defendant. Neither of those factors are aggravating circumstances authorized pursuant to RSMo. 565.012.2(1-10) RSMo. 1978.

In light of the jury's statement on the verdict form, we cannot be certain that the jury found the aggravating circumstance instructed upon, i.e., the homicide taking place in a place of confinement, a circumstance to justify the death penalty. We will never know the amount of influence the unauthorized factor had in their assessment of punishment.

The jury's verdict in this case, as in Godfrey, went beyond the aggravating circumstance authorized by statute. The jury here specifically indicated in its verdict that additional factors were considered which are not authorized by statute. The court's imposition of the death penalty upon this verdict violates not only the statutes of the State of Missouri, but more importantly, the Eighth and Fourteenth Amendments of the United States Constitution as interpreted by this Court.

Not only was the verdict rendered by the jury outside the scope of its permissible limits, but in any death penalty case, the entire proceedings, including the jury verdict, is subject to a higher standard of reliability than in any other criminal case due to the uniqueness, severity, and irrevocability of the death sentence imposed. In Woodson v. North Carolina, 428 U.S. 280 (1976), Gardner v. Florida, 430 U.S. 349 (1977), Beck v. Alabama, 477 U.S. 625 (1980), and Lockett v. Ohio, 438 U.S. 586 (1978), the court recognized the irreversible nature of the death penalty and the need for an elevated standard of due process.

The need for control over the jury and direction in the jury process as referred to in Furman and Gregg, plus the standard of heightened reliability as stated in Woodson, Gardner, Beck and Lockett demand that the sentence of death imposed on the defendant cannot stand.

THE JUDGMENT OF DEATH IS EXCESSIVE, DISPROPORTIONATE, OVERBROAD AND OVERINCLUSIVE AND THEREFORE VIOLATIVE OF THE FIFTH, EIGHTH, AND FOURTEENTH AMENDMENTS WHEN IT IS IMPOSED SOLELY AS A RESULT OF A FINDING OF DEFENDANT'S STATUS AS A PERSON LAWFULLY CONFINED AS THE AGGRAVATING CIRCUMSTANCE PRESCRIBED BY SECTION 565.012.2(9) (1978).

Section 565.012.2(9) provides as an aggravating circumstance that "the capital murder was committed by a person in, or who has escaped from, the lawful custody of a peace officer or place of lawful confinement." This subjects petitioner, merely by his status, to a more severe penalty than any other member of society regardless of the nature of the crime.

The applicable standard of review is the strict scrutiny test because the statute trammels fundamental personal rights. Under this test, we should look at the state's interest, determine whether it is compelling, and then determine if the statute impinges on the fundamental personal right in the least restrictive manner. See generally Shapiro v. Thompson, 394 U.S. 618 (1969). Under this analysis, this aggravating circumstance would be unconstitutional because it is overinclusive.

In the dissenting opinion by Judge Seiler, joined by Judge Bardgett, the Missouri Supreme Court points out that factors such as reason for incarceration, length of sentence,and parole are not even considered and this overgeneralization is constitutionally inadequate as the predicate of a death sentence.

Judge Seiler's dissenting opinion (Appendix A, 627

S.W.2d 673, 691) points out that this is the first case reviewed by the Missouri Supreme Court where the jury imposed the death penalty after finding as the only statutory aggravating circumstance that defendant was lawfully confined at the time of the murder. After discussing two other cases in which the same aggravating circumstance was submitted, State v. Shaw, 636 S.W.2d 667 (Mo. banc 1982), cert. den. 51 U.S.L.W. 3288 (Oct. 12, 1982), and State v. Trimble, No. 62523 (Mo. banc August 31, 1982), Judge Seiler noted that this is the only case in which the sole aggravating circumstance was the defendant's status in a place of lawful confinement. Both other cases were submitted upon other aggravating circumstances. Judge Seiler points out that the principal opinion uses the rational basis test to uphold the constitutionality of Section 565.012.2(9) (the aggravating circumstance of lawful place of confinement) rather than the appropriate standard of review which is strict scrutiny because "the statute does trammel fundamental personal rights." This aggravating circumstance, prescribed by Section 565.012.2(9) is unconstitutional because it is overinclusive. "It does not differentiate between those who have nothing more to lose for a killing in prison unless they receive the death penalty and those who are not in that class." 535 S.W.2d at 693. This section lumps everyone in prison into that same category regardless of length of sentence or chance of parole. This is over inclusive.

Further, those factors set out as aggravating in the statute are factors which tend to show the murder to be depraved, vicious, at random, for monetary gain or for escaping the confines of the law. Petitioner's incarceration supplies no added criminal culpability on the part of Bolder nor justifies any extenuating circumstance which would support the death penalty.

The Missouri court had the obligation of comparing the sentence in this case with all other death sentences imposed pursuant to Section 565.012.2 and in doing so, had no adequate standard of review because no other defendant had been tried, convicted, and given the death penalty pursuant to Section 565.012.2(9) based solely on the statutory aggravating circumstance instructed upon.

When this court in 1976 approved the Georgia, Florida and Texas capital sentencing statutes on their face, Gregg v. Georgia, 428 U.S. 153 (1976); Proffitt v. Florida, 428 U.S. 242 (1976); Jurek v. Texas, 428 U.S. 262 (1976), it did so on the assumption that the statute at issue, as interpreted by the highest courts of the states, would guarantee against the arbitrary and capricious infliction of the death penalty which had been condemned in Furman v.Georgia, 408 U.S. 238 (1972). The court placed particular reliance on the procedures for appellate review, Gregg v. Georgia, supra, 428 US. at 166-168, 204-06 (opinion of Stewart, Powell and Stevens, JJ.): id. at 222-24 (White and Rehnquist, JJ. and Burger, C.J., concurring), viewing it as an effective means by which the state would insure that the death penalty would be applied in a fair, rational, consistent and even-handed manner, Proffitt v. Florida, supra, 428 U.S. at 259-60; Jurek v. Texas, supra, 428 U.S. at 276; see also Gardner v. Florida, 430 U.S. 349, 361 (1977), so that similar cases would reach similar results, Gregg v. Georgia, supra, 428 U.S. at 198, 208 (opinion of Stewart, Powell and Steens, JJ.); id. at 223 (White, J. concurring); Proffitt v. Florida, supra, 429 U.S. at 251, 253, 258 (opinion of Stewart, Powell and Stevens, JJ.): Jurek v. Texas, supra, 428 U.S. at 270, (opinion of Stewart, Powell and Stevens, JJ.) id. at 279 (White and Rehnquist, JJ., and Burger, J., concurring) and there would be a "meaningful basis for distinguishing the few cases in which [the death

penalty] is imposed from the many cases in which it is not." Gregg v. Georgia, supra, 428 U.S. at 198, quoting Furman v.Georgia, supra, 408 U.S. at 313 (White, J., concurring).

The comparison of the judgment in this case with the judgments under the same Missouri statute, i.e. State v. Shaw, 636 S.W.2d 667 (Mo. banc 1982), cert. den. 51 U.S.L.W. 3288 (Oct. 12, 1982), and State v. Trimble, No. 62523, Aug. 31, 1982, as set forth in Judge Seiler's opinion is thorough, compelling and complete up to the date of the decision. We will not burden the court by repeating it here.

Bolder's death sentence cannot be rationally reconciled with the judgments of the Missouri Courts in the other cases of Capital Murder in which punishments were imposed under the same statute.

The statute, as applied in his case, is unconstitutionally overbroad, overinclusive, discriminatory, standardless, disproportionate and random. As so applied to this judgment, it deprives Bolder of his life without due process of law and subjects him to the cruel and unusual punishment of death.

The judgment of death should be reversed.

CONCLUSION

For the reasons set forth above it is respectfully submitted that this Petition for Writ of Certiorari should be granted.

Respectfully submitted,

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STATE of Missouri, Respondent,

v.

Martsay BOLDER, Appellant.

No. 62362.

Supreme Court of Missouri,
En Banc.

July 6, 1982.

Rehearing Denied Aug. 2, 1982.

Defendant was convicted before the Circuit Court, Randolph County, Samuel E. Semple, J., of capital murder and was sentenced to death, and he appealed. The Supreme Court, Welliver, J., held that: (1) evidence supported conviction; (2) death sentence is not unconstitutional; (3) existence of one or more aggravating circumstance not outweighed by mitigating circumstances does not require imposition of death penalty; (4) application of statutory aggravating circumstances did not create a new crime of capital murder separate from capital murder with penalty of life in prison and 50 years without parole; (5) in its review of appropriateness of death penalty, the Supreme Court is not limited to death sentences imposed since effective date of capital punishment law; (6) juror who stated that death penalty would affect her ability to judge the facts was properly excused; (7) it was not abuse of discretion to order defendant restrained in the courtroom; and (8) death penalty was neither excessive nor disproportionate to punishment imposed in similar cases.

Affirmed.

Seiler, J., filed dissenting opinion, in which Bardgett, J., concurred.

1. Criminal Law ⇐1144.13(4, 5, 6), 1159.2(3)

In assessing sufficiency of the evidence to support capital murder conviction, the Supreme Court was required to accept as true all evidence and inferences that tended to support the verdict and disregard evidence and inferences to the contrary and its inquiry was limited to whether the evidence, viewed in light most favorable to the State, was sufficient to support the verdict. MAI Cr.2d 15.02; V.A.M.S. § 565.001.

2. Criminal Law ⇐566

Testimony of a single witness is sufficient to establish identity of a criminal defendant if the jury believes it beyond a reasonable doubt. V.A.M.S. § 565.001.

3. Homicide ⇐5

Fact that victim may have died from infection resulting from defendant's stabbing rather than from the stabbing itself was irrelevant to defendant's guilt of capital murder, especially as jury could have found from seriousness of the stab wound that defendant knew he was practically certain to cause death. V.A.M.S. § 565.001.

4. Homicide ⇐147

Premeditation and deliberation may be inferred from circumstances of the homicide. V.A.M.S. § 565.001.

5. Homicide ⇐14(2)

For capital murder purposes, "premeditation" is present whenever defendant thinks about the act for any length of time, however short, before he acts. V.A.M.S. § 565.001.

See publication Words and Phrases for other judicial constructions and definitions.

6. Homicide ⇐14(2)

A finding of premeditation for capital murder purposes depends not so much on the time involved as on an inference reasonably drawn from the evidence and circumstances surrounding the act. V.A.M.S. § 565.001.

7. Homicide ⇐147

Although incriminating statement was not before the jury before guilt phase of capital murder trial, jury could have found premeditation from the fact that defendant's attack on fellow penitentiary inmate occurred in an area not visible from the guard towers, from fact that prisoners were not allowed to carry weapons and from want of evidence of bruises either on vic-

tim, who was fatally stabbed, or on defendant that would indicate that an altercation preceded the stabbing, and those factors might also indicate deliberation but, in any event, with evidence of provocation lacking, the previously demonstrated intent to kill provided deliberation. V.A.M.S. § 565.001.

8. Constitutional Law ⇐270(1)
Criminal Law ⇐1213

Death sentence violates neither the cruel and unusual punishment clause of the Eighth Amendment, due process clause of the Fourteenth Amendment, due process clause of the State Constitution, cruel and unusual punishment clause of the State Constitution nor the "natural right to life" clause of the State Constitution. V.A.M.S. § 565.008, subd. 1; V.A.M.S.Const.Art. 1, §§ 2, 10, 21; U.S.C.A.Const.Amends. 8, 14.

9. Criminal Law ⇐986.6(2)

Fact that sentencing hearing in a capital punishment case is separate from guilt phase is not unconstitutional as unduly burdening a capital defendant's decision whether to testify, in violation of privilege against self-incrimination and, in any event, facts did not support theory that statute deprived defendant of effective assistance by restricting his strategic options as defendant received a presentence hearing and was given an opportunity to present his story to the jury but chose not to do so and offered no evidence at either guilt or sentencing phase. V.A.M.S. § 565.006, subd. 2; U.S.C.A.Const.Amends. 5, 6.

10. Criminal Law ⇐1208(1)

Time between guilt and sentencing phase of death penalty case is not unconstitutional as insufficient time in which to "cool off" after rendering a guilty verdict. V.A.M.S. § 565.006; U.S.C.A.Const.Amend. 14.

11. Constitutional Law ⇐250.1(2)

Aggravating circumstance for capital punishment that murder was committed by one in lawful custody of a place of lawful confinement did not impinge on fundamental personal rights and, hence, rational relationship standard was the appropriate one by which to gauge constitutionality on equal protection challenge, i.e., that classification subjects prisoners because of their status to more severe penalty than it does other members of society. V.A.M.S. § 565.012, subd. 2(9); U.S.C.A.Const.Amend. 14.

12. Constitutional Law ⇐250.1(2)
Homicide ⇐351

Aggravating circumstance for capital punishment that murder was committed by one in lawful custody of a place of lawful confinement does not violate equal protection as irrationally subjecting prisoners because of their status to a more severe penalty than other members of society and imposition of capital punishment is rationally related to the state's legitimate interests in preventing crime and protecting other persons with whom prisoners come in contact and legislature could have concluded that death penalty is appropriate where imprisonment already imposed has not deterred capital murder. V.A.M.S. § 565.012, subd. 2(9); U.S.C.A.Const.Amend. 14.

13. Homicide ⇐354

Where jury in capital punishment case found aggravating circumstance that defendant had a prior criminal conviction for murder in the first degree and that defendant was in lawful custody of place of confinement at time of instant murder the jury did not exceed scope of its charge when it opined that it appeared that the life sentence defendant was serving at time of instant offense was no deterrent to further crime and the excess verbiage was not an attempt to find another aggravating circumstance. V.A.M.S. § 565.012, subd. 2(9).

14. Criminal Law ⇐1208(1)

Requirement of capital sentencing scheme that sentencing authority consider whether sufficient mitigating circumstances exist which outweigh aggravating circumstance does not impermissibly place burden on defendant to negate aggravating circumstances as existence of one or more aggravating circumstance not outweighed by mitigating circumstances does not mean that the death penalty must be imposed automatically and finding that one or more

statutory aggravating circumstance exists is but a threshold requirement to be met before the jury can, after considering all the evidence, recommend the death sentence and under no circumstance is the jury obliged to impose death. V.A.M.S. §§ 565.008, subd. 1, 565.012, subd. 1(4).

15. Criminal Law ⇐1208(1)

Constitution does not require that a capital penalty jury find beyond a reasonable doubt that aggravating circumstances outweigh mitigating circumstances, although guilt must be established beyond a reasonable doubt as must the statutory aggravating circumstance or circumstances upon which the jury bases its recommendation of death and imposition of punishment is not a matter resolved by determination of facts alone. V.A.M.S. §§ 565.008, subd. 1, 565.012, subd. 1(4).

16. Homicide ⇐354

Application of statutory aggravating circumstances for imposition of capital punishment do not create a new crime for which a defendant can be convicted without first being charged, and capital murder for which death penalty can be imposed is not a crime separate from capital murder with penalty of life in prison and 50 years without parole. V.A.M.S. §§ 565.001, 565.008, subd. 1, 565.012, subd. 1(4).

17. Criminal Law ⇐1206(2)

In determining whether death penalty is disproportionate to penalty imposed in similar cases, the Supreme Court is not limited to those cases in which death penalty has been imposed under the law effective May 26, 1977, but, rather, its concern is that there be evenhanded, rational and consistent imposition of death sentences and the Court can consider as similar those cases in which both death and life imprisonment were submitted to the jury including those cases affirmed on appeal and those reversed if reversal was predicated upon disproportionality of sentence and may also consider cases pending before the Court. V.A.M.S. § 565.014.3(3).

18. Criminal Law ⇐1206(2)

In reviewing propriety of imposition of the death penalty the Supreme Court is not required to consider all murder cases in which a defendant had at some point in the proceedings been charged or chargeable with capital murder and relevant cases are those in which the judge or jury first found defendant guilty of capital murder and thereafter chose between death or life imprisonment without possibility of parole for at least 50 years and comparison need not include cases such as those in which the state chose not to charge defendant with capital murder, the state agreed to plea bargain to lesser charge, conviction was for an offense less than capital murder or the state waived the death penalty. V.A.M.S. §§ 565.014, 565.014, subds. 3, 3(3), 5.

19. Constitutional Law ⇐42.2(2)

Where defendant was convicted of capital murder and made no contention that capital murder sentencing procedure is applied differently among defendants convicted of that offense, he could not be heard to complain that bifurcated capital murder procedure denied equal protection to defendants charged with capital murder but convicted of lesser offenses. V.A.M.S. §§ 565.001, 565.006, subd. 2; U.S.C.A.Const. Amend. 14.

20. Jury ⇐33(2.1)

Since defendant presented neither evidence of nor alleged other evidence of jury bias, he was not entitled to an evidentiary hearing to show that his death-qualified jury was biased toward conviction and that he thereby was prejudiced. V.A.M.S. § 565.008, subd. 1.

21. Jury ⇐108

Witherspoon, i.e., that veniremen may be excused for cause if they make unmistakeably clear that their attitude toward the death penalty would prevent them from making an impartial decision as to guilt, applies to answers elicited from veniremen rather than questions asked on voir dire. V.A.M.S. § 565.008, subd. 1.

22. Jury ⇐108

It was not error to exclude for cause one venire woman who gave affirmative response to inquiry whether death penalty would affect her ability to judge the facts. V.A.M.S. § 565.008, subd. 1.

23. Criminal Law ⇐590(1)

Denial of request for continuance, as made at conference before voir dire, to interview four potential witnesses who were penitentiary inmates was not abuse of discretion where appointed counsel stated that he had told defendant that he would present no evidence until at least May 6 and trial court indicated that it would issue subpoenas so that witnesses would be available for interviewing on morning of May 6.

24. Criminal Law ⇐637

Trial court has discretion whether to order a defendant restrained whenever it is necessary to maintain order and security in the courtroom.

25. Criminal Law ⇐637

Trial court was justified in ordering defendant bound where defendant, upset with refusal to grant continuance and remove appointed counsel, overturned library table in pretrial conference and scuffled with guards approximately five minutes, knocked over his chair in courtroom while attempting to rise, and had to be subdued by guards and resisted being placed in chair and was required to be held by four guards and continually asserted that he would not remain in the courtroom and trial court repeatedly told defendant that he would not be bound if he promised to behave and continuing shackling past first day of trial was not abuse of discretion where defendant had been convicted of one murder and was on trial for another and there was no evidence of prejudice.

26. Criminal Law ⇐1035(3)

Defendant could not be heard to complain that he appeared at trial in prison clothing where he was offered civilian clothing but said there was none his size and when trial court asked defendant whether he wished to put on civilian clothing defendant stated that he was not going in the courtroom and defendant at no time objected to wearing prison clothing during trial.

27. Criminal Law ⇐404(1)

Demonstrable evidence is admissible if it throws any relevant light on material matter at issue or if it tends to establish any fact in issue or aid the jury in any way in arriving at a correct verdict.

28. Criminal Law ⇐404(1)

Trial court has discretion whether to admit or exclude demonstrable evidence.

29. Criminal Law ⇐404(4)

Fact that none of the witnesses who testified in homicide prosecution ever saw subject knife in defendant's possession did not mean that trial court erred in admitting the knife as there was evidence linking defendant to the knife and linking both defendant and knife to fatal stabbing, including evidence that defendant was seen standing over victim making stabbing motions toward latter's stomach and that knife was found 15 to 20 feet from doors of building which defendant was seen entering.

30. Criminal Law ⇐388

Although forensic serologist testified that victim's blood type was the same as that of 45% of Americans and that because of small quantity she was unable to test it for factor PGM_1, objection of remoteness went to weight to be accorded evidence that blood on defendant's clothes matched that of the victim, rather than its admissibility.

31. Criminal Law ⇐1134(3)

Where defendant did not object on appeal that admission of photograph of knife was cumulative to admission of knife and thus error, reviewing court would not address the question.

32. Criminal Law ⇐412.1(1)

Defendant's refusal to make a written statement had no bearing on voluntariness of his oral statement where defendant was advised of his rights and made a knowing and intelligent waiver of right to remain

silent and one officer testified that defendant was not shackled during interrogation and interrogating officer testified that no promises were made and that defendant was not beaten, struck or otherwise threatened or coerced.

33. Homicide ⇐354

Review of record of capital murder proceeding established that death sentence was not imposed under influence of passion, prejudice or any other arbitrary factor. V.A.M.S. § 565.014, subd. 3.

34. Criminal Law ⇐1206(2)
Homicide ⇐354

Imposition of death penalty for fatal stabbing of fellow penitentiary inmate was neither excessive nor disproportionate to punishment imposed in similar cases, considering that defendant was serving a life sentence for first-degree murder. V.A.M.S. §§ 565.001, 565.012, subd. 2(9), 565.014, subds. 1, 3.

On Motion for Rehearing

35. Criminal Law ⇐1038.2

Contention that trial court erred in failing to instruct on first-degree murder as a lesser included offense of capital murder could not be raised for first time in reply brief.

36. Constitutional Law ⇐268(11)

Failure to instruct on first-degree murder as lesser included offense of capital murder did not abridge due process where trial court instructed on second-degree murder and manslaughter and evidence would not support an instruction on first-degree felony-murder as none of the enumerated felonies were present. V.A.M.S. §§ 565.001, 565.003, U.S.C.A.Const.Amend. 14.

Lori J. Levine, Jefferson City, for appellant.

John Ashcroft, Atty. Gen., Nancy Kelley Baker, Asst. Atty. Gen., Jefferson City, for respondent.

WELLIVER, Judge.

Appellant was convicted of capital murder, § 565.001, RSMo 1978,[1] and the jury sentenced him to death pursuant to § 565.008(1). This Court has jurisdiction for original appeal. Mo.Const. art. V, § 3. Our review includes both consideration of alleged trial errors and review of the death sentence. § 565.014. We affirm both the conviction for capital murder and the sentence of death.

I

The offense occurred in the Missouri State Penitentiary. Appellant Bolder was serving a life sentence for first degree murder.

On March 14, 1979, at approximately 3:15 p. m., vocational teachers Kenneth Giboney and Arthur Luecke were returning by truck from Lincoln University. As they came around the building identified as 5 A & B and headed toward the maintenance and machine shop, Giboney observed what appeared to be two inmates fighting and told Luecke to stop the truck. Both men got out of the truck some thirty feet from the altercation. They saw an inmate, later identified as Theron King, lying against the wall in a partially sitting position. A second inmate, later identified as appellant, was standing over King and making striking or stabbing motions toward King's stomach. King appeared to be trying to move from side to side in an attempt to get out of the way. An unidentified third inmate approached to within two or three feet of the pair and then dropped back with his arms in the air. The inmate later identified as appellant straightened up, backed away from King, and then headed toward the entrance of the 5 A & B building. No others were known to be present at the scene. Neither Giboney nor Luecke saw a weapon at the time.

As appellant headed for the 5 A & B building entrance, King rose and started toward the maintenance and machine shop. Luecke saw blood on King's T-shirt and

1. All statutory references are to RSMo 1978 unless otherwise indicated

pursued appellant into the 5 A & B building not more than fifteen seconds behind him. Burt Johnson, another corrections officer who had arrived at the scene, also entered the building and ascended the stairs in pursuit of appellant. When Johnson reached the top of the steps he saw appellant standing behind a desk and wiping blood off his hands with a handkerchief. Appellant was wearing green trousers and a red jacket of the same type Luecke had seen on the man standing over King and making stabbing motions at him. Blood stains on appellant's clothes were of the same type as King's blood. Johnson frisked appellant, found no weapons on him, and took him back downstairs.

A weapon search was conducted in the immediate area of the stabbing. No weapon was found outside at the scene, but a clear plastic bag stained with blood of the same type as King's was found nearby. The plastic folder contained, among other things, inmate paper and appellant's personal correspondence. Prison officials found a knife with fresh blood on it in the 5 A & B building behind a set of padlocked metal doors fifteen to twenty feet down a hallway and to the left of the entrance appellant had been seen entering. A seven-eighths inch space separates the bottom of the doors from the floor. The knife was thin enough to have been slid beneath the doors. When investigation of the area was completed, appellant was taken to the office of Urban Lock, a penitentiary investigator, for questioning.

Officer Homer Jeffries, who had arrived at the scene, saw King walking toward the maintenance and machine shop. He followed King and reached him approximately seventy feet from where he had been stabbed and started to escort him to the prison hospital. After traveling about 100 feet, King said he could go no further. King was then carried to the penitentiary hospital on a stretcher. Dr. Richard Bowers examined King and immediately called in a surgeon, Dr. Alan Doerhoff. King was in shock when Dr. Bowers first saw him at the hospital. King had a one inch laceration on his right shoulder, a two inch laceration on his back, a one and one-half inch laceration on his right wrist, and a three inch long stab wound in his abdomen.

Dr. Doerhoff arrived at the hospital within forty-five minutes after the stabbing. King's inferior vena cava, which carries blood from the legs, intestines, kidneys, and liver to the heart, was lacerated in the stabbing. Dr. Doerhoff had to massage King's heart with his hand in order to start it pumping again. Because of the seriousness of the wound, Dr. Doerhoff held the inferior vena cava shut with his hand while King was moved into the operating room. King was in surgery for about an hour and fifteen minutes, during which he was given thirteen pints of blood and twenty-four pints of other fluids.

Dr. Doerhoff testified that such a serious injury to the inferior vena cava is fatal ninety percent of the time. The emergency was so great that Dr. Doerhoff did not believe he had time to establish a sterile condition and still save King's life. He performed the operation on King without wearing a mask or cap, although he did wear sterile surgical gloves.

King was then transferred to the University Medical Center. On March 26, 1979, he had surgery to remedy pericarditis, an inflammation of the sac surrounding the heart. King underwent surgery again on April 3, 1979, because he had suffered a heart attack. Various other procedures were conducted in order to determine the cause of an apparent infection in King's abdomen. On April 14, 1979, King had a fourth operation because infection prevented the scar tissue from holding one of his knife wounds closed.

King died April 28, 1979. Dr. Lowery Arnold, who performed the autopsy on King's body, testified that in his medical opinion King died from a generalized infection caused by a stab wound to the abdomen.

Investigator Lock, returning to his office from other duties, found appellant and the guard who had brought him there for questioning. Investigator Lock saw red stains

on appellant's clothing that appeared to be blood and saw a cut on appellant's right index finger and smeared blood on his right hand. Lock asked appellant if he wanted to talk about the incident, and appellant replied that he wanted to talk to Lt. Leroy Looten. Lt. Looten was called and came within minutes. Lt. Looten went into the office, and, at appellant's request, Investigator Lock left the room and closed the door. Lt. Looten spoke with appellant less than a minute, probably not more than thirty to forty-five seconds, and told him only to tell the truth because it would actually be better to do so. Appellant said he was ready to make a statement, and thereupon Investigator Lock was called back into the room. Appellant was read his rights, said he understood them, and signed a waiver. There was no evidence of any promises, threats, or other coercion. Nor was there any allegation that appellant did not understand the waiver he signed. Appellant made no statement before he was read his rights. He refused to make a written statement, but in Lt. Looten's presence he made an oral statement to Investigator Lock.

Appellant's oral statement was offered only in the sentencing phase of the trial.

Investigator Lock testified that appellant said the incident went back four to six months to when King was his cell mate. Appellant said that King knew who murdered appellant's brother but would not tell him. Frank Lindsey became appellant's cell mate after King moved out. Thereafter, King began harrassing appellant and telling others that appellant and Lindsey were engaging in homosexual activities. Appellant said he got tired of such accusations. Appellant said that on March 14 he was walking to the 5 A & B building when he saw King and another inmate sitting on the ledge. They called appellant names as he walked by, and an argument followed. Appellant said he did not like being called names. He left and returned to the yard with the plastic bag containing papers and correspondence. He got the knife, put it in the plastic bag, and returned to where King was. Appellant asked King what he had said earlier, and King called him "a pussy-assed-nigger." Appellant then pulled out the knife and stabbed King.[2]

II

[1] Appellant argues that the trial court erred in overruling his motion for judgment of acquittal at the close of the state's evidence and in submitting the capital murder verdict directing instruction, MAI CR2d 15.02, because there was no credible evidence upon which the jury could have found him guilty of (1) stabbing and cutting King, (2) intending to kill King, (3) knowing that he was practically certain to cause King's death, and (4) reflecting fully and coolly upon killing King before doing so. In assessing the sufficiency of the evidence, we must accept as true all evidence and inferences that tend to support the verdict and disregard all evidence and inferences to the contrary. Our inquiry is limited to whether the evidence, viewed in the light most favorable to the state, is sufficient to support the verdict. *State v. Turner*, 623 S.W.2d 4, 6 (Mo. banc 1981); *State v. Strickland*, 609 S.W.2d 392, 395 (Mo. banc 1980).

[2-7] The testimony of a single witness is sufficient to establish the identity of a criminal defendant if the jury believes it beyond a reasonable doubt. *State v. Tucker*, 451 S.W.2d 91, 95 (Mo.1970); *State v. Stockdale*, 415 S.W.2d 769, 771 (Mo.1967); *State v. Williams*, 376 S.W.2d 133, 136 (Mo. 1964). In this case not one witness, but two, observed appellant standing over King and making striking or stabbing motions toward King's stomach. Appellant was found wiping blood off his hands with a handkerchief; his clothes when he was

2. Investigator Lock recounted at the hearing on the motion to suppress appellant's statement that appellant said that when he saw officers he walked into the 5 A & B building and tossed the knife. He said appellant described the knife as a homemade one about ten inches long with a white shaped handle and with a hole in the end of the blade. This testimony, however, was not elicited during the sentencing phase of the trial, and Investigator Lock's written report, which may or may not have included these facts, was not admitted into evidence.

found were of the same type that King's assailant was wearing; and the stains on his clothing were of blood of the same type as King's. Second, the knife wound in King's abdomen severed one of the major blood vessels in the body, and "[a] killing through the use of a deadly weapon on a vital part of the body of the victim is sufficient to permit a finding of intent to kill." *State v. Strickland*, 609 S.W.2d at 394. It makes no difference that King died from an infection resulting from the stabbing rather than from the stabbing itself. Appellant nevertheless is legally responsible. *See State v. Brandt*, 467 S.W.2d 948, 950 (Mo. 1971); *State v. Cooley*, 387 S.W.2d 544, 548 (Mo.1965). Third, the jury could have found from the seriousness of the stab wound that appellant knew he was practically certain to cause King's death. Finally, the jury could have found beyond a reasonable doubt that the killing occurred after premeditation and deliberation, which may be inferred from the circumstances of the homicide, *State v. Strickland*, 609 S.W.2d at 394. Premeditation is present whenever the defendant thinks about the act for any length of time, *id.*, however short, *State v. Wood*, 596 S.W.2d 394, 400 (Mo. banc), *cert. denied*, 449 U.S. 876, 101 S.Ct. 221, 66 L.Ed.2d 98 (1980), before he acts. A finding of deliberation depends not so much upon the time involved as upon an inference reasonably drawn from the evidence and circumstances surrounding the act. *Id.* Appellant's statement was not before the jury during the guilt phase of the trial, but the jury nevertheless could have found premeditation from the facts that the attack occurred in an area not visible from the guard towers, prisoners are not allowed to carry weapons, and there was no evidence of bruises on either King or appellant that would indicate that an altercation preceded the stabbing. Those factors might also indicate deliberation, but, in any event, "[w]ith evidence of provocation lacking, the previously demonstrated intent to kill provided deliberation." *State v. Sturdivan*, 497 S.W.2d 139, 142 (Mo.1973), *overruled on other grounds, State v. Anderson*, 515 S.W.2d 534, 542 (Mo. banc 1974). The evidence was sufficient to support the verdict.

III

Appellant raises a number of constitutional challenges to the death penalty and to Missouri's statutory procedures for imposing it, all of which we find meritless.

A

[8] Appellant argues that the death sentence violates the cruel and unusual punishment clause of the eighth amendment and the due process and equal protection clauses of the fourteenth amendment of the United States Constitution. He also argues that it violates the due process clause of Art. I, § 10, the cruel and unusual punishment clause of Art. I, § 21, and the "natural right to life" clause of Art. I, § 2 of the Missouri Constitution. Our discussion in *State v. Newlon*, 627 S.W.2d 606, 612-13 (Mo. banc 1982), *petition for cert. filed*, U.S.L.W. (U.S. May 5, 1982) (No. 81-6660), answers all but the equal protection claim, and we cannot find, and appellant does not offer, a reason for sustaining it. We see no reason to accept appellant's invitation to reconsider our previous holding.

B

Appellant contends that the sentencing hearing, separated from the guilt phase of the trial, § 565.006(2), is unconstitutional because (1) it unduly burdens his decision whether to testify in his own behalf in violation of the privilege against self-incrimination conferred by the fifth amendment; (2) it denies him adequate assistance of counsel, in contravention of the sixth amendment, because there is inadequate time to prepare for the punishment phase of the trial after conclusion of the guilt phase; and (3) there is no "cooling off" period between the guilt and punishment phases "to permit the jurors an objective, unbiased, unprejudiced examination of the factors they must consider in assessing punishment."

[9] Appellant argues with respect to the first contention that the statute "extends pre-sentence hearings only to those criminal defendants charged with and convicted of capital murder," that it is "impossible to predict whether an opportunity will be available to explain or mitigate after the guilt phase or whether [a defendant] should testify during that phase to insure that mitigating evidence reaches the finder of fact," and that a defendant "is forced to choose between his sentencing strategy and testifying during the guilt phase in order that the jury does hear his story." We fail to see how the statute impinges upon appellant's right *not* to testify even if it does indeed burden his decision whether, and when, *to* testify. The facts in this case, furthermore, do not support a theory that the statute deprives appellant of effective assistance of counsel by restricting his strategic options.[3] Appellant, convicted of capital murder in which the death penalty may be imposed by a jury or judge sitting without a jury, the additional procedure provided in section 565.012 shall be followed. The jury, or the judge in cases tried by a judge, shall fix a sentence within the limits prescribed by law. The judge shall impose the sentence fixed by the jury or judge. If the jury cannot, within a reasonable time, agree to the punishment, the judge shall impose sentence within the limits of the law; except that, the judge shall in no instance impose the death penalty when, in cases tried by a jury, the jury cannot agree upon the punishment.

3. If the trial court is reversed on appeal because of error only in the presentence hearing, the new trial which may be ordered shall apply only to the issue of punishment. (Emphasis added.) In *State v. Moore*, 615 S.W.2d 108 (Mo.App.1981), the state argued just the opposite—*i.e.*, that the statute provides for a presentence hearing only when a defendant is charged with *and convicted* of capital murder—and prevailed. Regardless of whether appellant's argument is meritorious, a question we expressly do not decide, the state's inconsistency gives us cause for concern. Furthermore, the state neglects to point out that § 565.006 was amended by H.B.251, 80th Gen. Assem., 1st Reg.Sess., 1979 Mo.Laws 634. The amended version became effective September 28, 1979, before the trial in this case. The amendment changed subsection (1) to read as follows:

> At the conclusion of all trials upon an indictment or information for capital murder heard by a jury, and after argument of counsel and proper charge from the court, the jury shall retire to consider a verdict of guilty or not guilty without any consideration of punishment. In nonjury capital murder cases, the court shall likewise first consider a finding of guilty or not guilty without any consideration of punishment. *In each jury capital murder case, the court shall not give instructions on any lesser included offense which could not be supported by the evidence presented in the case.*

§ 565.006(1), RSMo Supp.1981 (emphasis added). The amendment deleted the language of

3. The state argues that under the plain language of § 565.006 appellant would be entitled to a presentence hearing regardless of which crime he was found guilty and that his rights, therefore, can in no way be abridged. Section 565.006 provides:

> 1. At the conclusion of *all trials upon an indictment or information for capital murder heard by a jury*, and after argument of counsel and proper charge from the court, the jury shall retire to consider a verdict of guilty or not guilty without any consideration of punishment, and by their verdict ascertain, *whether the defendant is guilty of capital murder, murder in the first degree, murder in the second degree, manslaughter, or is not guilty of any offense.* In nonjury capital murder cases, the court shall likewise first consider a finding of guilty or not guilty without any consideration of punishment, and by its verdict ascertain, whether the defendant is guilty of capital murder, murder in the first degree, murder in the second degree, manslaughter, or is not guilty of any offense.
>
> 2. *Where the jury or judge returns a verdict or finding of guilty as provided in subsection 1 of this section*, the court shall resume the trial and conduct a presentence hearing before the jury or judge at which time the only issue shall be the determination of the punishment to be imposed. In such hearing, subject to the laws of evidence, the jury or judge shall hear additional evidence in extenuation, mitigation, and aggravation of punishment, including the record of any prior criminal convictions and pleas of guilty or pleas of nolo contendere of the defendant, or the absence of any such prior criminal convictions and pleas. Only such evidence in aggravation as the prosecution has made known to the defendant prior to his trial shall be admissible. The jury or judge shall also hear argument by the defendant or his counsel and the prosecuting attorney regarding the punishment to be imposed. The prosecuting attorney shall open and the defendant shall conclude the argument to the jury or judge. Upon conclusion of the evidence and arguments, the judge shall give the jury appropriate instructions and the jury shall retire to determine the punishment to be im-

ital murder, received a presentence hearing and thus was given an opportunity to present his story to the jury. He chose not to do so. In fact, appellant offered no evidence at all at either the guilt or sentencing phase of the trial.

[10] Both appellant's second and third contentions concern the time between the guilt and sentencing phases of the trial. In *State v. Royal*, 610 S.W.2d 946, 950 (Mo. banc 1981), we rejected the claim that the time between the phases is insufficient for a convicted defendant to prepare his arguments for the sentencing phase. The rationale we applied in that case applies with equal force to appellant's third argument here that the jury has an insufficient time in which to "cool off" after rendering a verdict of guilty. We noted that "rather than work a hardship on a defendant, the bifurcated system is 'an extension of a high order of the process due the accused.'" *Id.* at 950. The United States Supreme Court said in *Gregg v. Georgia*, 428 U.S. 153, 96 S.Ct. 2909, 49 L.Ed.2d 859 (1976), that

> [w]hen a human life is at stake and when the jury must have information prejudicial to the question of guilt but relevant to the question of penalty in order to impose a rational sentence, a bifurcated system is more likely to ensure elimination of the constitutional deficiencies identified in [*Furman v. Georgia*, 408 U.S. 238, 92 S.Ct. 2726, 33 L.Ed.2d 346 (1972)].

Id. at 191-92, 96 S.Ct. at 2933-34. Appellant concedes that "[a]rguably, the legislature need not have extended individualized sentencing hearings of the type established by § 565.006." Doubtless the jury will be less prone to impose a sentence under passion in a bifurcated system, in which there is at least some time in which it can "cool off," than it would were it to impose the sentence at the same time it determines guilt.

C

[11, 12] Appellant next contends that the aggravating circumstance the jury found in this case, that "[t]he capital murder was committed by a person in . . . the lawful custody of a . . . place of lawful confinement," § 565.012(2)(9), is unconstitutional because it subjects prisoners because of their status as such to a more severe penalty than it does other members of society.

Unless a statute creates a classification that burdens a suspect group or impinges upon a fundamental interest, "we will not overturn [it] unless the varying treatment of different groups or persons is so unrelated to the achievement of any combination of legitimate purposes that we can only conclude that the legislature's actions were irrational." *Vance v. Bradley*, 440 U.S. 93, 97, 99 S.Ct. 939, 942, 59 L.Ed.2d 171 (1979). In short, "[u]nless a classification trammels fundamental personal rights or is drawn upon inherently suspect distinctions such as race, religion, or alienage, our decisions presume the constitutionality of the statutory discriminations and require only that the classification challenged be rationally related to a legitimate state interest." *Friedman v. Rogers*, 440 U.S. 1, 17, 99 S.Ct. 887, 898, 59 L.Ed.2d 100 (1979) (quoting *City of New Orleans v. Dukes*, 427 U.S. 297, 303, 96 S.Ct. 2513, 2516, 49 L.Ed.2d 511 (1976)). Prisoners have never been held to constitute a suspect class. Furthermore, it is they whom society has deemed should be deprived, to a significant degree, of their liberty, and thus the classification here involved cannot be said to impinge upon "fun-

the old subsection (1) that allowed conviction for first or second degree murder or manslaughter in an apparent attempt to provide for a presentence hearing only after a defendant is convicted of capital murder. The italicized language added to the amended version, however, allows the trial judge to instruct on lesser included offenses. That language appears to imply, although we do not decide, that the trier of fact can return a verdict or finding of guilty on a lesser included offense and that under subsection (2), the language of which was unchanged by the amendment, a presentence hearing would be held even if the defendant were found guilty of a crime other than capital murder. We have never passed upon the rationale that the court of appeals articulated in *Moore*, and we do not do so now. Neither do we decide whether it would apply to the amended version of § 565.006.

damental personal rights." The rational relationship standard is therefore the appropriate one by which to gauge the constitutionality of § 565.012(2)(9), and under this test the statute passes muster. The United States Supreme Court has noted that besides the "two principal social purposes" of capital punishment, "retribution and deterrence of capital crimes by prospective offenders," a third purpose "is the incapacitation of dangerous criminals and the consequent prevention of crimes that they may otherwise commit in the future." *Gregg v. Georgia*, 428 U.S. at 183 & n.28, 96 S.Ct. at 2929 & n.28. The latter is one purpose underlying § 565.012(2)(9). In this case appellant was already serving a life sentence for first degree murder. That sentence did not deter him from committing a second murder. The legislature, in adopting § 565.012(2)(9), reasonably could have concluded that the death penalty is appropriate when imprisonment already imposed does not deter capital murder. The imposition of capital punishment is rationally related to the state's obviously legitimate interests in preventing crime and protecting other persons, such as prison employees and other inmates, with whom prisoners come in contact.

[13] For this reason we also reject appellant's contention that the jury exceeded the scope of its charge when, after finding the aggravating circumstances that "the defendant has a prior criminal conviction for murder in the first degree"[4] and that "at the time of the murder of Theron King the defendant was in the [l]awful custody of a place of confinement,"[5] it opined that it "appears the life sentence [that appellant was already serving] was no deterant [sic] to further crime." The jury's excess verbiage was not, as appellant argues, an attempt to find another aggravating circumstance. It was, rather, nothing more than the jury's expression of the rationale underlying the statutory aggravating circumstance that it already had found.

4. This is a nonstatutory aggravating circumstance that the jury could consider under § 565.012(1)(3).

D

Appellant argues that § 565.012 is unconstitutional because (1) subsection (1)(4), which requires the sentencing authority to consider "whether a sufficient mitigating circumstance or circumstances exist which outweigh the aggravating circumstance or circumstances found to exist," impermissibly places the burden on the defendant to negate the aggravating circumstances; (2) the jury is not required to find beyond a reasonable doubt that the aggravating circumstances outweigh the mitigating circumstances; and (3) the application of the statutory aggravating circumstances in subsection (2) creates a new crime for which a defendant can be convicted without first being charged.

[14] Appellant's argument with respect to the burden of proof is misconceived. The existence of one or more aggravating circumstances not outweighed by the mitigating circumstances does not mean that the death penalty must be imposed automatically. The jury's finding that one or more statutory aggravating circumstances exist is the threshold requirement that must be met before the jury can, after considering all the evidence, recommend the death sentence. The jury cannot impose death if it finds that the mitigating circumstances outweigh the aggravating circumstances, but that situation is the only one in which the punishment is mandated. Under no circumstances is the jury obliged to impose death. "The jury is not required to find any mitigating circumstance in order to make a recommendation of mercy that is binding on the trial court, . . . but it must find a *statutory* aggravating circumstance before recommending a sentence of death." *Gregg v. Georgia*, 428 U.S. at 197, 96 S.Ct. at 2936. Indeed, the jury was instructed in Instruction No. 21 that "[e]ven if you decide that a sufficient mitigating circumstance or

5. This is a statutory aggravating circumstance, § 565.012(2)(9), that the jury could consider under § 565.012(1)(1).

circumstances do not exist which outweigh the aggravating circumstance or circumstances found to exist, you are not compelled to fix death as the punishment."

[15] We do not believe, as appellant contends, that the Constitution requires that the jury find beyond a reasonable doubt that the aggravating circumstances outweigh the mitigating circumstances. Guilt must of course be established beyond a reasonable doubt. *In re Winship*, 397 U.S. 358, 90 S.Ct. 1868, 25 L.Ed.2d 368 (1970). So also must the statutory aggravating circumstance or circumstances upon which the jury bases its recommendation of death. § 565.012(1)(4). *See Gregg v. Georgia*, 428 U.S. at 196–97, 96 S.Ct. at 2936. The imposition of punishment, however, is not a matter resolved by the determination of fact alone. It is a more subjective process in which "there [must] be taken into account the circumstances of the offense together with the character and propensities of the offender." *Id.* at 189, 96 S.Ct. at 2932 (quoting *Pennsylvania ex rel. Sullivan v. Ashe*, 302 U.S. 51, 55, 58 S.Ct. 59, 60, 82 L.Ed. 43 (1937)). It suffices to say that in *Proffit v. Florida*, 428 U.S. 242, 250–53, 257–58, 96 S.Ct. 2960, 2965–67, 2969, 49 L.Ed.2d 913 (1976), the United States Supreme Court thoroughly considered this statutory balancing process and found no constitutional infirmity. The Court stated that

> [w]hile the various factors to be considered by the sentencing authorities do not have numerical weights assigned to them, the requirements of *Furman* are satisfied when the sentencing authority's discretion is guided and channeled by requiring examination of specific factors that argue in favor of or against imposition of the death penalty, thus eliminating total arbitrariness and capriciousness in its imposition.
>
> The directions given to judge and jury by the . . . statute are sufficiently clear and precise to enable the various aggravating circumstances to be weighed against the mitigating ones. As a result, the trial court's sentencing discretion is guided and channeled by a system that focuses on the circumstances of each individual homicide and individual defendant in deciding whether the death penalty is to be imposed.

Id. at 258, 96 S.Ct. at 2969.

[16] We therefore also reject appellant's argument that the application of the statutory aggravating circumstances creates a new crime for which appellant can be convicted without first being charged. Appellant argues that capital murder for which the death penalty can be imposed is "a crime separate from capital murder with the penalty of life in prison and fifty years without parole." That analysis is flawed. The crime—capital murder—is the same in both instances. The existence of aggravating or mitigating circumstances relates not to guilt but to the punishment therefor.

E

Appellant argues that § 565.014 is unconstitutional (1) because the lack of similar cases in Missouri gives this Court no standard by which to determine under subsection (3)(3) "[w]hether the sentence of death is excessive or disproportionate to the penalty imposed in similar cases, considering both the crime and the defendant"; and (2) because subsections (3) and (5) do not require this Court "to consider all murder cases wherein the defendant had, at any point in the proceedings, been charged or chargeable with capital murder" and there therefore is no adequate standard of review.

[17] Appellant's argument with respect to the first contention is that "only seven persons in the State of Missouri have been assessed the death penalty and in no case are the facts similar to those in the case at bar."[6] This argument presupposes that we can consider only those cases in which the death penalty has been imposed under the law effective May 26, 1977. Such is not the case. Our concern is that there be "even-handed, rational, and consistent imposition

6. Currently, 17 men are on death row in the Missouri State Penitentiary.

of death sentences under law." *Jurek v. Texas*, 428 U.S. 262, 276, 96 S.Ct. 2950, 2958, 49 L.Ed.2d 929 (1976). Our inquiry would be unduly slanted were we to compare only those cases in which the death penalty has been imposed. We therefore can consider as similar "[t]hose cases in which both death and life imprisonment were submitted to the jury." *State v. Mercer*, 618 S.W.2d 1, 11 (Mo. banc), *cert. denied*, — U.S. —, 102 S.Ct. 432, 70 L.Ed.2d 240 (1981). *See Gregg v. Georgia*, 428 U.S. at 204 n.56, 96 S.Ct. at 2939 n.56. Included are those cases that have been affirmed on appeal, *State v. Mercer*, 618 S.W.2d at 11, and those that have been reversed if the reversal was predicated upon the disproportionality of the sentence, *e.g.*, *State v. McIlvoy*, 629 S.W.2d 333 (Mo. banc 1982). We may also consider cases pending before this Court in order to determine what penalties juries have imposed in factually similar situations. *See State v. Williams*, 392 So.2d 619, 626 (La. 1980); *State v. Williams*, 205 Neb. 58, 75, 287 N.W.2d 18, 29 (1979), *cert. denied*, 449 U.S. 891, 101 S.Ct. 255, 66 L.Ed.2d 120 (1980). Appellant's argument, carried to its logical conclusion, would mean that our capital punishment statute was unconstitutional from the instant it became effective because at that point there were no similar cases for comparison.[7] We cannot accept this rationale.

[18] Neither do we accept the argument that we must consider all murder cases in which the defendant had at some point in the proceedings been *charged or chargeable* with capital murder. The Supreme Court in *Gregg* rejected an analogous claim of unconstitutionality based on "the opportunities for discretionary action that are inherent in the processing of any murder case" because "[n]othing . . . suggests that the decision to afford an individual defendant mercy violates the Constitution." 428 U.S. at 199, 96 S.Ct. at 2937. Relevant cases for a review of the appropriateness of the sentence are those in which the judge or jury first found the defendant guilty of capital murder and thereafter chose between death or life imprisonment without the possibility of parole for at least fifty years.[8] Our comparison therefore need not include cases such as those in which the state chose not to charge a defendant with capital murder, the state agreed to a plea bargain whereby a defendant pled guilty to a lesser charge, the conviction was for an offense less than capital murder, or the state waived the death penalty.

F

[19] Appellant's final challenge to the constitutionality of the system is that the bifurcated capital murder procedure denies him equal protection of the laws. His argument is that he is charged with capital murder, a decision wholly within the prosecutor's discretion, and thus is subject to the bifurcated process; that his prior convictions and other aggravating circumstances thus can be used against him; that the trial court is required to instruct the jury on second degree murder and manslaughter as lesser offenses included within capital murder; that defendants charged with second degree murder or manslaughter are not subject to the bifurcated process and cannot have prior convictions and aggravating circumstances used against them; and that therefore appellant bears a greater burden than do defendants charged with second degree murder or manslaughter.

7. The United States Supreme Court has found no constitutional infirmity in the comparison of cases decided under old capital punishment statutes "at the inception of the new procedure in the absence of any post-Furman capital cases available for comparison." *Gregg v. Georgia*, 428 U.S. 153, 205 n.54, 96 S.Ct. 2909, 2940 n.56, 49 L.Ed.2d 859 (1976). We have not found it necessary to do so.

8. This was implicit in our decision in *State v. Mercer*, 618 S.W.2d 1 (Mo. banc 1981). In *Mercer* a majority of the Court rejected Judge Seiler's argument in dissent that "similar cases" within the meaning of § 565.014.3(3) encompasses "all cases in which the state waived the death penalty, all cases in which life imprisonment was given and no appeal taken, . . . and all cases in which capital murder was charged but the jury found defendant guilty of a lesser crime than capital murder." *Id.* at 20-21 (Seiler, J., dissenting).

This argument is spurious. Appellant was convicted of capital murder, not second degree murder or manslaughter. He makes no contention that the capital murder sentencing procedure is applied differently among defendants convicted of that offense. Whether defendants charged with capital murder but convicted of a lesser offense are denied equal protection is of no concern to him whatsoever.[9] His equal protection rights have not been abridged.

IV

Appellant next makes three arguments concerning questions the prosecutor asked veniremen about their views on the death penalty and the trial court's dismissal of one venirewoman for cause.

[20] Appellant first contends that the trial court committed constitutional error when it overruled his motion to prohibit the prosecutor from asking jurors whether they objected to or opposed capital punishment. He argues that allowing the state to challenge for cause or peremptorily strike veniremen opposed to the death penalty will produce a jury that is conviction, rather than death penalty, prone. We rejected this argument in *State v. Mercer*, 618 S.W.2d at 7-8, and *State v. Mitchell*, 611 S.W.2d 223, 229 (Mo. banc 1981). Nevertheless, appellant, citing *Griggs v. Mabry*, 637 F.2d 525 (8th Cir. 1980), requests for the first time on appeal that he be granted an evidentiary hearing to show that his death-qualified jury was biased toward conviction and that he thereby was prejudiced. The Supreme Court in *Witherspoon v. Illinois*, 391 U.S. 510, 517, 88 S.Ct. 1770, 1774, 20 L.Ed.2d 776 (1968), noted that evidence is "too tentative and fragmentary to establish that jurors not opposed to the death penalty tend to favor the prosecution in the determination of guilt." Since *Witherspoon* several scholars have conducted empirical studies in an attempt to prove or disprove the hypothesis. As we recently noted, however, "[t]he studies ... are not conclusive." *State v. Mercer*, 618 S.W.2d at 7-8. Since we have before us neither evidence of nor allegation of other evidence of jury bias, we deny his request for an evidentiary hearing.

[21] Appellant next argues that the trial court violated *Witherspoon* in overruling his motion in limine because the prosecutor thus was allowed to ask questions not aimed at exposing an "unmistakably clear predisposition or irrevocable opposition" to capital punishment that "makes it impossible for a juror to make a finding of guilt regardless of the evidence presented." *Witherspoon* applies to the answers elicited from veniremen rather than the questions asked on voir dire. Furthermore, nothing in *Witherspoon* indicates that a veniremas may be excused for cause only if his view of the death penalty would prevent him from ever returning a verdict of guilty. The Supreme Court in *Witherspoon* stated, rather, that veniremen may be excused for cause if they make "unmistakably clear ... that their attitude toward the death penalty would prevent them from making an *impartial decision* as to the defendant's *guilt*." 391 U.S. at 522-23 n. 21, 88 S.Ct. at 1776-77 n.21 (some emphasis added). *See also Adams v. Texas*, 448 U.S. 38, 45, 100 S.Ct. 2521, 2526, 65 L.Ed.2d 581 (1980) ("[t]he State may insist ... that jurors will consider and decide the facts impartially").

[22] We also reject appellant's third contention that the trial court erred in excluding for cause one member of the jury panel. After venirewoman Bealmer indicated her scruples against capital punishment, the following occurred:

> [PROSECUTOR]: Would the fact that the death penalty is there, would that affect your ability to judge the *facts*?
>
> VENIRE[WOMAN] BEALMER: Yes.[10]

9. We intimate no view on whether all defendants charged with, or only those convicted of, capital murder are subject to the bifurcated procedure. *See* note 3 *supra*.

10. The entire colloquy was as follows:

> [PROSECUTOR]:
>
> The judge told you the charge here is murder, the charge of capital murder that has been lodged against the defendant Martsay

(Emphasis added.) Thereafter the trial court, without objection from appellant, sustained the prosecutor's challenge for cause. We find no *Witherspoon* violation. The question was specific, and the answer was unequivocal. Because of her view toward the death penalty, the venirewoman could not have determined appellant's guilt impartially.

V

Appellant contends that the trial court erred in refusing to grant a continuance and in forcing him to go to trial in chains and prison clothing and with an armed guard at his side. He argues that he was not given time to calm down and that his appearance in such a condition prejudiced the jury against him.

[23] Appellant's trial began May 5, 1980. At a conference before voir dire, appellant orally requested a continuance in order to interview four potential witnesses, all inmates in the penitentiary. Appellant's appointed counsel stated that he had told appellant that appellant would present no evidence until at least May 6. The trial court denied the continuance and indicated that it would issue subpoenas for the four potential witnesses so that they would be available for interviewing the morning of May 6. We cannot say that the trial court abused the sound discretion it has in determining whether to grant a continuance in a criminal case. *See State v. Oliver*, 572 S.W.2d 440, 445 (Mo. banc 1978).

[24, 25] The trial court also has discretion whether to order a defendant restrained whenever it is necessary to maintain order and security in the courtroom. *Illinois v. Allen*, 397 U.S. 337, 343, 90 S.Ct. 1057, 1060, 25 L.Ed.2d 353 (1970); *State v. Richards*, 467 S.W.2d 33, 38 (Mo.1971). Given appellant's recalcitrance, the trial court was justified in ordering appellant bound. Appellant, upset with the trial court's refusal to grant the continuance and to remove appellant's appointed counsel, overturned a library table in the pretrial conference and scuffled with guards approximately five minutes; knocked over his chair in the courtroom while attempting to rise and had to be subdued by guards; resisted being placed in his chair and required four guards to hold him; and continually asserted that he would not remain in the courtroom. The trial court repeatedly told appellant that he would not be bound if he promised to behave. He did not. Appellant contends, nevertheless, that he should not have been shackled past the first day of trial. Given appellant's actions, and the fact that appellant had been convicted of

> Bolder, I indicate to you right now we intend to seek the death penalty on the case. Is there anyone here on the first row who because of either religious or moral scruples against the death penalty you don't think you would be able to find someone guilty on the charge of capital murder?
>
> VENIRE[WOMAN] BEALMER: Yes.
>
> [PROSECUTOR]: Let me ask you again, you indicate that because of scruples against the death penalty you don't believe you could find someone guilty of capital murder?
>
> VENIRE[WOMAN] BEALMER: I don't think so.
>
> [PROSECUTOR]: If the State of Missouri—If the jury returns a verdict of guilty of capital murder you go into the second phase of the trial, then the issue is punishment. After the issue is presented to the jury the jury still has to assess the death penalty or life imprisonment. Knowing that, do you think it would interfere with your ability to judge the facts on the guilt stage of capital murder?
>
> VENIRE[WOMAN] BEALMER: Do I have to answer yes or no?
>
> [PROSECUTOR]: You have to answer as best you can and as truthful as you can.
>
> VENIRE[WOMAN] BEALMER: Well that is bad, I don't like death, but—
>
> [PROSECUTOR]: Let me ask you this: Do you think, knowing that if your verdict is based on the fact that the death penalty is there in the background, do you think that would interfere with your ability to judge the facts, would you impose a burden of proof greater on me if the death penalty—
>
> [DEFENSE COUNSEL]: I object, he is beginning to argue with the juror.
>
> THE COURT: Sustained.
>
> [PROSECUTOR]: Would the fact that the death penalty is there, would that affect your ability to judge the facts?
>
> VENIRE[WOMAN] BEALMER: Yes.
>
> (Emphasis added.)

one murder and was on trial for another, the trial court could reasonably have believed that another disturbance might be forthcoming if appellant were not bound. Furthermore, there was no evidence of prejudice.[11] The trial court did not abuse its discretion.

[26] Appellant was not compelled to appear before the jury in identifiable prison clothing. *See Estelle v. Williams*, 425 U.S. 501, 96 S.Ct. 1691, 48 L.Ed.2d 126 (1976). Appellant was offered civilian clothing, but he said there was none his size and that he was "not going to put no small clothes on." At another point before the trial the court asked appellant whether he wished to put on civilian clothing before he went into the courtroom, and appellant, nonresponsive, replied, "I am not going in the courtroom." Appellant at no time objected to wearing prison clothing during the trial. "[T]he failure to make an objection to the court as to being tried in such clothes, for whatever reason, is sufficient to negate the presence of compulsion necessary to establish a constitutional violation." *Id.* at 512-13, 96 S.Ct. at 1696-97. Appellant cannot now be heard to complain.

VI

Appellant next argues that the trial court erred in admitting State's Exhibit No. 18, the knife allegedly used to stab Theron King, and State's Exhibit No. 9, a photograph thereof. He contends that there was no evidence tending to prove that the knife had ever been in his possession or that it had been used to inflict the deadly wounds.

[27, 28] Demonstrable evidence is admissible "if it throws any relevant light upon a material matter at issue," *State v. Murphy*, 592 S.W.2d 727, 730 (Mo. banc 1979), or if it "tends to establish any fact in issue or . . . aid the jury in any way in arriving at a correct verdict," *State v. Holmes*, 609 S.W.2d 132, 136 (Mo. banc 1980). "Articles, instruments and weapons that have a tendency to explain the manner in which a crime was committed that are found at or near the scene of the crime subsequent to the commission of a crime are generally admissible." *State v. Neal*, 591 S.W.2d 178, 180 (Mo.App.1979). The trial court has discretion whether to admit or exclude demonstrable evidence. *State v. Murphy*, 592 S.W.2d at 730.

[29-31] It is true that none of the witnesses who testified at trial ever saw the knife in appellant's possession. Nevertheless, there is evidence linking appellant to the knife and linking both appellant and the knife to the attack. Appellant was seen standing over King and making stabbing motions toward his stomach. Appellant was later found in the 5 A & B building wiping blood off his hands. The knife was found in a room off the hall in 5 A & B building that was fifteen to twenty feet from the doors appellant was seen entering. The steel doors to the room in which the knife was found were padlocked, but the seven-eighths inch space between the floor and the bottom of the doors was large enough for the knife to slide through. There were no detectible fingerprints on the knife, but the knife when found was still wet with blood that matched the blood of the victim, and the blood on appellant's clothes matched that of the victim.[12] This

11. The jurors questioned under oath during the hearing on the motion for new trial stated that they had not discussed the facts that appellant was shackled and an armed guard was present and that those facts did not influence their decision. The four venirewomen who indicated on voir dire that the presence of the guard would affect their impartiality did not serve on the jury.

12. Dr. Kwei Lee Su, a Missouri State Highway Patrol forensic serologist, testified that Theron King possessed type O blood, which approximately 45% of Americans possess. Dr. Kwei also testified that King's blood contained factor PGM1. PGM1, an enzyme, is inherited independently of the ABO system and thus is a further discriminating genetic factor. *See State v. Rolls*, 389 A.2d 824 (Me.1978). The blood on appellant's clothing was type O and contained factor PGM1. Dr. Kwei was able to determine that the blood on the knife was type O, but because of the small quantity she was unable to test it for factor PGM1. Appellant argues, therefore, that "[t]he most this knife could represent is only that there are knives in the pris-

evidence linked appellant with the knife sufficiently to justify admission of the knife and the photograph into evidence.[13] The trial court did not abuse its discretion.

VII

Appellant contends that the trial court erred in overruling his motion to suppress the oral statement that he made to Investigator Lock the afternoon of the stabbing. He claims (1) that the oral statement was made after he had refused to make a written statement and after he had already cut off interrogation with one officer; (2) that he made the statement under duress and coercion and was not advised of his right to remain silent; and (3) that he made no knowing and intelligent waiver of his right to remain silent and that the trial court failed to find that his statement was voluntary.

Appellant's view of the facts is contrary to the uncontradicted testimony elicited at the suppression hearing. Appellant bases his first argument upon the statement in *Miranda v. Arizona*, 384 U.S. 436, 86 S.Ct. 1602, 16 L.Ed.2d 694 (1966), that

> [o]nce warnings have been given, the subsequent procedure is clear. If the individual indicates in any manner, at any time prior to or during questioning, that he wishes to remain silent, the interrogation must cease. At this point he has shown that he intends to exercise his Fifth Amendment privilege[.]

Id. at 473–74, 86 S.Ct. at 1627 (footnote omitted). Yet the uncontradicted testimony showed that when Investigator Lock told appellant that he wanted to talk with him about the stabbing, appellant replied that he wanted to talk with Lt. Looten. Appellant at that point would not speak with Investigator Lock, but his statement cannot be construed as an indication that he desired to remain silent. He wanted instead to speak with another officer.

[32] Appellant was advised of his rights and made a knowing and intelligent waiver of his right to remain silent. After speaking with Lt. Looten, appellant said he was ready to make a statement, and Investigator Lock thereupon was summoned back into the room. Appellant was read his rights, said he understood them, and signed the waiver. There was no coercion by the authorities. Lt. Looten testified that appellant was not shackled during the interrogation, and Investigator Lock testified that no promises were made and that appellant was not beaten, struck, or otherwise threatened or coerced.[14] Appellant's refusal to make a written statement has in this situation no bearing on the voluntariness of his oral statement. Investigator Lock testified that appellant "said he would not [make a written statement], he did not like to give written statements and he just as soon tell Lieutenant Looten and I what occurred and leave it at that." The trial court in overruling the motion to suppress implicitly found that the statement was voluntary, *see State v. Royal*, 610 S.W.2d at 949, and the record supports that finding. The trial court did not err in overruling the motion to suppress.

VIII

Section 565.014(1) mandates that we review the death sentence when it is imposed. Having found no error among appellant's assignments of error, we turn to a consideration of the punishment.

Section 565.014(3) provides:

on with the same blood type as Theron King, a blood type which is experienced by approximately 45% of the United States population." This objection of remoteness, however, goes to the weight to be accorded the evidence rather than to its admissibility, because this evidence is not so remote that it is entirely without materiality. *State v. Feger*, 340 S.W.2d 716, 725–26 (Mo.1960).

13. Appellant makes no argument on appeal that admission of the photograph was cumulative and thus error. We therefore do not address this question.

14. Appellant testified for the first time at the hearing on his motion for new trial that Investigator Lock slapped him approximately five times, struck him four times with a stick or wooden table or chair leg, and cut his hand during the interrogation. He also testified, however, that his "hand got cut on the fence or wall."

With regard to the sentence, the supreme court shall determine:

(1) Whether the sentence of death was imposed under the influence of passion, prejudice, or any other arbitrary factor; and

(2) Whether the evidence supports the jury's or judge's finding of a statutory aggravating circumstance as enumerated in section 565.012; and

(3) Whether the sentence of death is excessive or disproportionate to the penalty imposed in similar cases, considering both the crime and the defendant.

[33] Our review of the entire record convinces us that the sentence was not imposed under the influence of passion, prejudice, or any other arbitrary factor.

Section 565.012(2)(9) provides as a statutory aggravating circumstance that "[t]he capital murder was committed by a person in ... the lawful custody of a ... place of lawful confinement." The jury so found. There is no contention in this case that appellant was not an inmate at the Missouri State Penitentiary at the time of the murder.

Our final consideration is whether the death penalty, taking into account both the crime and the defendant, is excessive or disproportionate to the penalty imposed in similar cases. Since the enactment of our current capital murder statute, § 565.001, this Court has reviewed and affirmed only two death sentences. *State v. Newlon*, 627 S.W.2d 606 (Mo. banc 1982), *petition for cert. filed*, U.S.L.W., (U.S. May 5, 1982) (No. 81-6660); *State v. Mercer*, 618 S.W.2d 1 (Mo. banc), *cert. denied*, — U.S. —, 102 S.Ct. 432, 70 L.Ed.2d 240 (1981). We have reversed one death sentence because of its disproportionality. *State v. Mellvoy*, 629 S.W.2d 333 (Mo. banc 1982). In addition to *Newlon* and *Mercer*, we have affirmed twelve capital cases in which the choice of death or life imprisonment without possibility of parole for fifty years was submitted to the jury. *State v. Greathouse*, 627 S.W.2d 592 (Mo.1982); *State v. Bostic*, 625 S.W.2d 128 (Mo.1981); *State v. Thomas*, 625 S.W.2d 115 (Mo.1981); *State v. Emerson*, 623 S.W.2d 252 (Mo.1981); *State v. Turner*, 623 S.W.2d 4 (Mo. banc 1981); *State v. Jensen*, 621 S.W.2d 263 (Mo.1981); *State v. Baskerville*, 616 S.W.2d 839 (Mo.1981); *State v. Mitchell*, 611 S.W.2d 223 (Mo. banc 1981); *State v. Williams*, 611 S.W.2d 26 (Mo. banc 1981); *State v. Royal*, 610 S.W.2d 946 (Mo. banc 1981); *State v. Borden*, 605 S.W.2d 88 (Mo. banc 1980); *State v. Downs*, 593 S.W.2d 535 (Mo.1980).

[34] This is the first case we have reviewed in which the jury imposed the death penalty after finding as a statutory aggravating circumstance that the defendant was lawfully confined at the time of the murder. Two other such cases are pending before us, and in both of those the jury imposed the death penalty. *State v. Shaw*, No. 62679 (Mo. banc argued May 17, 1982); *State v. Trimble*, No. 62522 (Mo. banc argued Sept. 15, 1981).[15] After considering these cases and those cited above, we conclude that the death penalty imposed in this case is neither excessive nor disproportionate to the punishment imposed in similar cases. The life sentence that appellant is already serving for first degree murder did not deter appellant from committing still another murder. The imposition of yet another life sentence would serve no purpose other than to signal that there is no real cost for prisoners who kill while in confinement.[16]

15. We consider these cases only to ascertain what punishment juries have imposed in factually similar cases. In so doing we intimate no view concerning their ultimate disposition.

16. It is significant to note that the United States Supreme Court has suggested that an intentional killing by a prisoner serving a life sentence or convicted of an unrelated murder presents a unique problem that may justify mandatory imposition of the death penalty. *Roberts v. Louisiana*, 428 U.S. 325, 334 n.9, 96 S.Ct. 3001, 3006 n.9, 49 L.Ed.2d 974 (1976); *Gregg v. Georgia*, 428 U.S. 153, 186, 96 S.Ct. 2909, 2931, 49 L.Ed.2d 859 (1976). *See Lockett v. Ohio*, 438 U.S. 586, 604 n.11, 98 S.Ct. 2954, 2964 n.11, 57 L.Ed.2d 973 (1978); *Roberts v. Louisiana*, 431 U.S. 633, 637 n.5, 97 S.Ct. 1993, 1995 n.5, 52 L.Ed.2d 637 (1977); *Woodson v. North Carolina*, 428 U.S. 280, 287 n.7, 292-93

The judgment is affirmed.

Date of execution set for August 20, 1982.

DONNELLY, C. J., and RENDLEN, MORGAN and HIGGINS, JJ., concur.

SEILER, J., dissents in separate dissenting opinion filed.

BARDGETT, J., dissents and concurs in separate dissenting opinion of SEILER, J.

SEILER, Judge, dissenting.

I respectfully dissent as to the review of the death sentence. In my opinion the sentence of death is excessive and disproportionate in this case.

As the principal opinion points out, this is the first case we have reviewed in which the jury imposed the death penalty after finding as a statutory aggravating circumstance that the defendant was lawfully confined at the time of the murder. The principal opinion, however, takes into consideration two other such cases pending before us, *State v. Shaw*, No. 62679 and *State v. Trimble*, No. 62523, but says nothing as to their facts, an aspect which is essential in determining whether they are similar to the present case. Based on the briefs and the oral arguments before this court in these two cases, there was evidence in the record supporting the verdicts as follows:

In *Trimble*, the defendant was in jail, charged with sodomy, rape, sexual abuse in the first degree and kidnapping of two nine year old girls. He was a large man, six feet, one inch in height, weighing 210 pounds. The victim, whom I will refer to only by his first name, Jerry, was age 20, five feet, ten inches in height, weight 145 pounds, quiet, shy, mentally slow, and "scared to death". He was in jail on a charge of auto theft. Trimble would pinch, tease, and harass Jerry and when Jerry was asleep would put lighted matches between his toes. Trimble declared he wanted Jerry as his "punk", i.e., homosexually; further, that he did not want to go to prison on the charges involving the two young girls because other prisoners did not like persons who committed crimes of that kind and to avoid this problem he intended to commit a capital murder.

He forced Jerry to don a bra, punched him, and repeatedly forced him to submit to oral and anal sex acts, forced him to kiss others, and to display a rag which had been stuffed in his anus, burned initials into his arm, referred to him as his "woman" and forced Jerry to write a suicide note to his parents. Later Trimble gagged Jerry with a towel, told him they were going to play a "hangman's game", looped a knotted towel around his neck, set his knees against Jerry's back and proceeded to choke the victim to death over a period of fifteen minutes, breaking one of the neck vertebrae in the process. Trimble then attempted to make the death appear as a suicide, and forced the other jail inmates to agree to tell the guards it was a suicide on penalty of the same thing happening to them.

In *Shaw*, the defendant, serving a life sentence for first degree murder, intended to kill one of the guards, officer Clinton Wyrick, the uncle of the warden. Shaw entered the vegetable room, seized two butcher knives, and, without warning, plunged one into the side of the officer (Farrow) who was in charge of the knives, killing him (Farrow died within the hour from loss of blood). Shaw then went in search of officer Wyrick, found him in the commissary, attacked Wyrick with both butcher knives, the attack lasting 30 to 45 seconds, with numerous wounds on Wyrick's arms, chest and stomach. Wyrick took thirteen months to recuperate.

Any murder is serious and reprehensible, but the murder in the present case is hardly comparable in viciousness or extremes to the murders in the *Trimble* and *Shaw* cases. The main similarity is that the instant case also occurred in a place of confinement. If the murder in the present case had occurred in a tavern or on a parking lot or elsewhere outside the prison walls, by someone not in confinement, there would have been no rea-

n.25, 96 S.Ct. 2978, 2983 n.7, 2985 n.25, 49 L.Ed.2d 944 (1976).

sonable likelihood, in my opinion, of the prosecutor being able to obtain a capital murder conviction, much less the death penalty. It would work out as a second degree murder case.

In addition to the *Trimble* and *Shaw* cases above, the principal opinion considers the two cases where the death sentence has been affirmed (*Newlon* and *Mercer*), one case where the death sentence has been reversed because of disproportionality (*McIlvoy*), and twelve capital cases where the jury affixed punishment at life imprisonment without possibility of parole for fifty years rather than death.

This is like trying to compare apples and oranges. None of the twelve cases involved persons in lawful confinement. Without exception the killings in the twelve cases were far more extreme and horrendous than here and, finally, even so in none of the twelve was the death penalty inflicted. To the extent the cases are comparable they demonstrate that the death penalty in the present case is excessive and disproportionate.

The same is true of the killings in the *Newlon*, *Mercer* and *McIlvoy* cases. None involved persons in confinement and each of those killings is far more extreme and horrendous than that in the present case, yet even in these instances, in one case—*McIlvoy*—the death penalty was declared excessive and disproportionate. If that were true in *McIlvoy*, it certainly is true here.

The real rationale of the principal opinion lies in the belief that anything less than death for this defendant would be no more than a slap on the wrist, as he is already serving a life sentence for first degree murder. This assumes that this particular defendant would actually have served for life under his first degree murder charge, an assumption which has no factual basis.

It is common knowledge that today "life" imprisonment is a misnomer.[1] Only a small percentage of inmates with life sentences serve for life. Most inmates in the penitentiary are discharged, either because they have completed their sentence or (and this makes up the majority of the cases) because they are paroled. Of those sentenced to life imprisonment who are paroled, the average length of time served in prison is somewhere between fifteen and sixteen years.

It is much different, of course, with respect to a capital murder life sentence, as that is for life without possibility of parole for fifty years. A capital murder defendant under that sort of life sentence knows that he must serve a minimum of fifty years. Not so, however, for the inmate serving the ordinary type of life sentence.

Defendant was sentenced to life imprisonment in 1974. Although he stabbed inmate King in 1979, it does not follow that until that time he had not conducted himself in accordance with the prison rules and regulations and had caused no trouble. There is nothing in the record to the contrary. He may have been one of those serving a life sentence who otherwise would have been paroled in due course. How can it be said then that the imposition of another life sentence upon him, this time without possibility of parole for fifty years, amounts to no more than a signal "that there is no real cost for prisoners who kill while in confinement" and that nothing less than death amounts to more than a slap on the wrist?

There is no evidence before us to support the assumption that only the death sentence could serve as a deterrent to this particular defendant or others in his class. I am unwilling to make the assumption which the principal opinion has to make in order to affirm the defendant's sentence of death.

The principal opinion uses the rational basis test to uphold the constitutionality of § 565.012.2(9). In order to use this test, it must first conclude that the statute does not impinge on "fundamental personal rights" because society has already deprived prisoners of liberty. I cannot agree with this analysis. Because a person is impris-

1. According to the 35th Annual Report of the Missouri Board of Probation and Parole 5 (1980-81), "Sooner or later, 98% of all prisoners are released."

oned, whether for life or for a short period of time, does not mean that that person has lost his "fundamental personal right" in life itself. The appropriate standard of review of this classification is the strict scrutiny test because the statute does trammel fundamental personal rights. Under this test, we should look at the state's interest, determine whether it is compelling, and then determine if the statute impinges on the fundamental personal right in the least restrictive manner. *See generally, Shapiro v. Thompson*, 394 U.S. 618, 89 S.Ct. 1322, 22 L.Ed.2d 600 (1969); L. Tribe, American Constitutional Law ch. 16 (1978). Under this analysis, this aggravating circumstance, § 565.012.2(9), would be unconstitutional because it is overinclusive. It does not differentiate between those who have nothing more to lose for a killing in prison unless they receive the death penalty and those who are not in that class.

I also point out that the principal opinion, as well as § 565.012.2(9) itself, is overinclusive. It lumps everyone in prison into the same category, whether there on a short sentence, such as a two year minimum, or there on a sentence which because of time already served will be completed in a short time or terminated by parole in a reasonable time. There are many prisoners to whom the penalty of further imprisonment under the capital murder statute, involving as it does life imprisonment without possibility of parole for fifty years, would be the strongest kind of deterrent.[2] Yet the justification used by the principal opinion for affirming the death penalty is that to do otherwise means there is no real cost for prisoners who kill while in confinement. The facts of real life are otherwise.

2. It would be different, of course, for a capital murderer who is already under a life sentence without possibility of parole for fifty years, but that is not this case and the statute does not so limit itself.

It is my understanding that the present population of the state penitentiary in Jefferson City is approximately 1,900 to 2,000 and that of these approximately 80 to 85 inmates are serving a capital murder life sentence without possibility of parole for fifty years. If this group increases on the average of 20 per year, there will be around 1,000 such inmates in the penitentiary before any one of them is eligible for parole. I think it is to this class of inmates that the suggestions which the principal opinion attributes to the United States Supreme Court that an intentional killing by an inmate might justify mandatory imposition of the death penalty might apply. To repeat, the present is not such a case.

ON MOTION FOR REHEARING

PER CURIAM.

[35] Appellant in his motion for rehearing contends that the trial court erred in failing to instruct the jury on first degree murder as a lesser included offense. There was no objection at trial to the failure to so instruct the jury, and appellant did not raise the point in his motion for new trial. It is raised here for the first time in appellant's reply brief, but it attempts to raise a new matter rather than reply to matters raised in the state's brief. Such is impermissible. *State v. Brown*, 502 S.W.2d 295, 306 (Mo.1973), *cert. denied*, 416 U.S. 973, 94 S.Ct. 1999, 40 L.Ed.2d 562 (1974).

[36] Appellant argues that we should review the point for plain error. Rule 29.12(b). After careful consideration we conclude that there has been no "manifest injustice or miscarriage of justice," *id.*, that would necessitate reversal. The trial court instructed the jury on second degree murder and manslaughter, and the jury thus had the opportunity to convict appellant of a lesser offense. *See Beck v. Alabama*, 447 U.S. 625, 100 S.Ct. 2382, 65 L.Ed.2d 392 (1980). Moreover, the evidence in this case would not support an instruction on first degree (felony) murder because none of the five enumerated felonies, § 565.003, RSMo 1978, was present. Due process therefore is not abridged. *Hopper v. Evans*, —— U.S. ——, 102 S.Ct. 2049, 72 L.Ed.2d 367 (1982).

The motion for rehearing is overruled.

IN THE

SUPREME COURT OF MISSOURI

STATE OF MISSOURI,	)	
	)	
Plaintiff-Respondent,	)	
	)	
vs.	)	Case No. 62362
	)	
MARTSAY BOLDER,	)	
	)	
Defendant-Appellant.	)	

APPEAL FROM THE CIRCUIT COURT OF RANDOLPH COUNTY, MISSOURI

14th Judicial Circuit

Honorable Samuel E. Semple, Judge

MOTION FOR REHEARING

Pursuant to Rule 84.17, Defendant-Appellant moves the Court to grant a rehearing of this appeal and as grounds for this motion states that the Court has overlooked and misinterpreted material matters of law and fact, as shown by its majority opinion, as follows:

I.

The majority opinion fails to address the material question of whether the aggravating circumstance, that "the capital murder was committed by a person in...the lawful custody of a peace officer or place of lawful confinement", §565.012.2(9), is enough of an aggravating circumstance to constitutionally permit imposition of the death penalty.

II.

The majority opinion fails to address the material question of whether imposition of the death penalty in this case is excessive or disproportionate to the penalty imposed

in similar cases as required under 565.014 and discriminates unfairly against this Defendant-Appellant as compared to many others who have received lesser sentences where their conduct reflected the existence of aggravating circumstances of a greater degree.

III.

The majority opinion fails to address itself to the requirement that all procedures involved in the process of imposing the sentence of death are subject to the standard of heightened reliability at all phases of trial.

IV.

The majority opinion fails to address itself to the issues in Appellant's Reply Brief wherein appellant alleged error in failing to instruct the jury on first degree murder.

WHEREFORE, Defendant-Appellant prays this Court grant his Motion for Rehearing.

Respectfully submitted,

CARSON, MONACO, COIL, RILEY AND McWILLIAM, P.C.

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Supreme Court of Missouri

en banc

STATE OF MISSOURI,
Respondent,
vs.
MARTSAY BOLDER,
Appellant.

No. 62362

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PER CURIAM

ON MOTION FOR REHEARING

Appellant in his motion for rehearing contends that the trial court erred in failing to instruct the jury on first degree murder as a lesser included offense. There was no objection at trial to the failure to so instruct the jury, and appellant did not raise the point in his motion for new trial. It is raised here for the first time in appellant's reply brief, but it attempts to raise a new matter rather than reply to matters raised in the state's brief. Such is impermissible. State v. Brown, 502 S.W.2d 295, 306 (Mo. 1973), cert. denied, 416 U.S. 973 (1974).

Appellant argues that we should review the point for plain error. Rule 29.12(b). After careful consideration we conclude that there has been no "manifest injustice or miscarriage of justice," id., that would necessitate reversal. The trial court instructed the jury on second degree murder and manslaughter, and the jury thus had the opportunity to convict appellant of a lesser offense. See Beck v. Alabama, 447 U.S. 625 (1980). Moreover, the evidence in this case would not support an instruction on first degree (felony) murder because none of the

five enumerated felonies, § 565.003, RSMo 1978, was present. Due process therefore is not abridged. Hopper v. Evans, 50 U.S.L.W. 4527 (U.S. May 24, 1982).

The motion for rehearing is overruled.

82-5648

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IN THE

SUPREME COURT OF THE

UNITED STATES

No. A-287

MARTSAY BOLDER,

Petitioner,

v.

STATE OF MISSOURI,

Respondent.

RESPONSE TO PETITION FOR WRIT OF CERTIORARI TO THE SUPREME COURT OF THE STATE OF MISSOURI

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QUESTIONS PRESENTED FOR REVIEW

1. Whether the imposition of capital punishment by the jury in the instant cause violated the petitioner's due process of equal protection rights under the Fifth and Fourteenth Amendments of the United States Constituion and the prohibition against cruel and unusual punishment contained in the Eighth Amendment of the United States Constitution when the jury was permitted to consider petitioner's previous conviction for murder during the penalty phase of the bifurcated proceeding following a finding beyond a reasonable doubt of one of the aggravating circumstances set forth in the Missouri statutory scheme.

2. Whether the imposition of capital punishment in the instant cause violates the due process and equal protection clauses of the Fifth and Fourteenth Amendments of the United States Constitution and the cruel and unusual punishment clause of the Eighth Amendment to the Constitution of the United States and is disproportionate to the offense committed where the aggravating circumstance found by the jury was that petitioner was in a place of lawful confinement at the time of the murder.

Party Section

In the Supreme Court of Missouri, the parties were: Martsay Bolder, appellant and State of Missouri, respondent.

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IN THE

SUPREME COURT OF THE

UNITED STATES

NO. A-287

MARTSAY BOLDER,

Petitioner,

v.

STATE OF MISSOURI,

Respondent.

RESPONSE TO PETITION FOR CERTIORARI

OPINIONS BELOW

Petitioner was convicted of the offense of capital murder, in violation of § 565.001, RSMo 1978, on May 6, 1980, following a jury trial. The jury assessed petitioner's punishment at death for the fatal stabbing of a fellow inmate at the Missouri State Penitentiary located in Jefferson City, Missouri. Petitioner filed a motion for a retrial on May 20, 1980, which was overruled on July 11, 1980. Petitioner was then sentenced to death in conformity with the jury verdict on that date by the trial court. Petitioner filed a notice of appeal on July 18, 1980, in the Missouri Supreme Court. The Missouri Supreme Court affirmed petitioner's conviction and sentence on July 6, 1982, and petitioner's motion for a rehearing was denied on August 2, 1982. The opinion of the Missouri Supreme Court affirming petitioner's conviction and sentence appears at 635 S.W.2d 673 (Mo. banc 1982). A copy of this opinion appears in Appendix A of the petitioner's petition for a writ of certiorari.

JURISDICTION

Petitioner claims that the imposition of the death penalty violates the due process and equal protection clauses of the Fifth and Fourteenth Amendments of the United States Constitution as well as the cruel and unusual punishment clause of the Eighth Amendment of the United States Constitution, and therefore invokes this Court's jurisdiction under 28 U.S.C. § 1257 (3).

CONSTITUTIONAL AND STATUTORY PROVISIONS

Petitioner urges a violation of the due process and equal protection clauses of the Fourteenth Amendment of the United States Constitution. The Fourteenth Amendment reads:

> "All persons born or naturalized in the United States and subject to the jurisdiction thereof are citizens of the United States and of the state wherein they reside. No state shall make or enforce any law which shall abridge the privileges or immunities of citizens of the United States, nor shall any state deprive any person of life, liberty or property with due process of law, nor deny any person within his jurisdiction the equal protection of the laws."

Petitioner urges that his rights under the Fifth Amendment of the United States Constitution were violated in that the due process and equal protection clauses of that amendment were not complied with in the imposition of his sentence. The Fifth Amendment to the United States Constitution reads in pertinent part as follows:

> "No person shall...be deprived of life, liberty or property without due process of law;...".

Petitioner also asserts a violation of the Eighth Amendment prohibition against cruel and unusual punishment which reads, "nor cruel and unusual punishment inflicted."

Petitioner alleges that his rights have been violated as his punishment is both excessive and disproportionate to the offense which he committed and the jury considered improperly a nonstatutory aggravating circumstance in assessing

the death penalty. The Missouri statutory scheme for imposition of the death penalty is comprised of several statutes which combine in an attempt to meet the requirements of this Court set forth in Furman v. Georgia, 408 U.S. 238, 92 S.Ct. 2726, 33 L.Ed.2d 346 (1972). Section 565.006, RSMo 1978, provides in pertinent part:

> "...2. Where the jury or judge returns a verdict or finding of guilty as provided in subsection 1 of this section [finding of capital murder], the court shall resume the trial and conduct a presentence hearing before the jury or judge at which time the only issue shall be the determination of the punishment to be imposed. In such hearing, subject to the laws of evidence, the jury or judge shall hear additional evidence in extenuation, mitigation and aggravation of punishment, including the record of any prior criminal convictions and pleas of guilty or pleas of nolle contendre of the defendant, or the absence of any such prior criminal convictions and pleas. Only such evidence in aggravation as the prosecution has made known to the defendant prior to his trial shall be admissible. ...Upon conclusion of the evidence and the arguments, the judge shall give the jury appropriate instructions and the jury shall retire to determine the punishment to be imposed...."

Section 565.008, RSMo 1978, provides for the punishment of death if certain statutory provisions have been complied with. It reads:

> "1. Persons convicted of the offense of capital murder shall, if the judge or jury so recommends after complying with the provisions of § 565.006 and 565.012, be punished by death..."

Section 565.012, RSMo 1978, delineates the circumstances under which capital punishment may be imposed. In pertinent part, it reads as follows:

> "In all cases of capital murder for which the death penalty is authorized, the judge shall

(1) Any of the statutory aggravating circumstances enumerated in subsection 2 which may be supported by the evidence,

(2) any of the statutory mitigating circumstances enumerated in subsection 3 which may be supported by the evidence.

(3) Any mitigating or aggravating circumstances otherwise authorized by law, and

(4) Whether a sufficient aggravating circumstance or circumstances exist to warrant the imposition of death or whether a sufficient mitigating circumstance or circumstances exist which outweigh the aggravating circumstance or circumstances found to exist.

2. Statutory aggravating circumstances shall be limited to the following:

...(9) The capital murder was committed by a person in, or who has escaped from, the lawful custody of a peace officer or place of lawful confinment;...

4. The statutory instructions as determined by the trial judge to be warranted by the evidence shall be given in charge and in writing to the jury for its deliberation. The jury, if its verdict is a recommendation of death, shall designate in writing, signed by the foreman of the jury, the aggravating circumstance or circumstances which it found beyond a reasonable doubt.

5. Unless at least one of the statutory aggravating circumstances enumerated in this section is so found, the death penalty shall not be imposed."

Section 565.014, RSMo 1978, places upon the Missouri Supreme Court the duty to review all death sentences. Subsection 3 of that statutory provision provides in pertinent part as follows:

"3. With regard to the sentence, the Supreme Court shall determine:

'1) whether the sentence of death was imposed under the influence of passion, prejudice or any other arbitrary factor; and

(2) whether the evidence supports the jury's or judge's findings of a statutory aggravating circumstance as enumerated in § 565.012; and

(3) whether the sentence of death is excessive or disproportionate to the penalty imposed in similar cases, considering both the crime and the defendant."

The Supreme Court of Missouri is empowered, by a constitutitional amendment to the Missouri Constitution, to establish rules relating to the procedure, practice and pleading for all courts and administrative tribunals. That provision is Article V, § 5, (Mo. Const.) and states:

"Rules of Practice and procedure-duty of Supreme Court-power of legislature. The Supreme Court may establish rules relating to practice, procedure and pleading for all courts and administrative tribunals, which shall have the force and effect of law. The rules shall not change substantive rights, or the law relating to evidence, the oral examination of witnesses, right of trial by jury, or the right of appeal."

STATEMENT OF THE CASE

Charges against the petitioner arose out of the following events: On March 14, 1979, at approximately 3:15 p.m., Messrs Kenneth Gibbony and Arthur Luecke, teachers at the Missouri State Penitentiary located in Jefferson City, Missouri, were returning from Lincoln University where they had picked up some books from the penitentiary library in a truck (Transcript 155, 156, 175, 176-Transcript hereinafter referred to as Tr.). The two entered the penitentiary by the tunnel gate, and as Luecke drove the truck behind the ice plant and the 5A and B housing units through an area not visible from the guard towers (Tr. 212), Gibbony observed

the wall in a slight sitting up position and a second inmate, later identified as the petitioner (Tr. 159), standing over King making striking motions as King appeared to be moving and trying to get out of the way (Tr. 160). Petitioner was dressed in green pants and a red jogging jacket with a white stripe (Tr. 169, 184, 195, 205-206). Gibbony informed Luecke that he thought he saw two inmates fighting next to the building and Luecke stopped the truck. Luecke opened the door of the truck and got out and saw the petitioner standing over inmate King (Tr. 158, 177). A third inmate had come within two or three feet of the inmate sighted by Gibbony and then had dropped back with his arms spread apart approximately ten to fifteen feet (Tr. 159, 177). No one else was in the immediate area except these three inmates (Tr. 177), and the petitioner was the only inmate close enough to touch King (Tr. 186).

After the third inmate had approached petitioner and King, petitioner straightened up and turned towards the third inmate as that inmate dropped back. Petitioner then turned and walked slowly towards Housing Unit 5 A and B, going inside the unit after reaching the step entrace (Tr. 161, 178). Neither Luecke or Gibbony saw a weapon in the petitioner's hands (Tr. 173, 178), but Gibbony saw the appellant's right hand make jabbing motions towards King (Tr. 175).

Following petitioner's exit from the immediate area of this incident, King got up and started to walk towards the maintenance and machine shop in the penitentiary. Luecke saw blood on King's T-shirt and immediately pursued the petitioner into building 5A and B. Luecke estimated that he entered the A and B fifteen seconds after the petitioner (Tr. 178). Gibbony also saw that King was bleeding when King walked up to the truck. Officer Homer D. Jefferies saw inmate King come around the pickup truck at this time with blood running down his forehead and between his fingers where he was holding his stomach. Officer Jefferies went to

the aid of this inmate and asked, "who stuck him in" (Tr. 162, 188). Officer Jefferies then told Burt Johnson, a employee of the Missouri State Penitentiary, to go and get the petitioner who had gone into Housing Unit 5 (Tr. 189). Gibbony saw Burt Johnson enter Housing Unit 5A and B approximately 10 seconds after Luecke had entered that same building. King was then escorted to the prison hospital (Tr. 188).

When Luecke entered the building 5 A and B, he saw a stairway to the right of which was located a door to the prison school (Tr. 182). To the left of the stairway was a long corridor measuring approximately 8 to 10 feet wide and 200 feet long (Tr. 183, 198, 213). Luecke looked up the stairs, but did not see the appellant. He then looked down the left corridor and back up the stairs. The second time Luecke looked up the stairs he saw the appellant approximately half way up just past the landing (Tr. 183, 184).

Officer Johnson, responding to Officer Jefferies' order to enter Housing Unit 5A and B and get the petitioner entered that housing unit and saw the petitioner going up the steps (Tr. 193-194). But Johnson went up the steps and found the petitioner facing to the right of a desk, or standing behind the desk wiping blood from his hands with a handkerchief (Tr. 195, 196). No weapon was found on the petitioner (Tr. 184, 196). A weapon was found later behind a set of double metal doors to an old Jaycee room in the corridor to the left of the stairway in housing unit 5 A and B. The weapon found was a homemade knife (Exhibit 18) which had what was described by Dr. Alan Ray Doerhoff, M.D. fresh blood on it sometime within one to two hours of the alleged assault (Tr. 199, 214, 206, 251). The blade (Exhibit 18) measured 3/4 of an inch thick and over an inch wide (Tr. 210).

No weapon was found on the victim or in the immediate scene of the incident (Tr. 171, 188, 196, 197, 204). However, a plastic bag with writing paper was found in the area of the stabbing (Tr. 164, 189), and inside the plastic liner or

bag were papers with the name Martsay Bolder on them (Tr. 190-192).

After petitioner was removed from building 5 A and B, he was taken to the office of the investigator at the Missouri State Penitentiary named Urban Lock. Mr. Lock had received a call betwen 3:15 and 3:20 p.m. on March 14, 1979, to go to his office. He found the petitioner in his office wearing green pants and a grey sweatshirt with an orange or red type hood (Tr. 205). A visual examination of the petitioner by Lock revealed red spots on the petitioner's clothing that appeared to blood, a cut on the petitioner's index finger and a smear of blood on the petitioner's right hand (Tr. 207-209). Petitioner made statements to Mr. Lock following the giving of a Miranda warning which were admitted into evidence at the second phase of the bifurcated proceeding over petitioner's objection (Tr. 316).

Upon arrival at the hospital, victim King was examined by Dr. Richard K. Bowers, D.O., who discovered that King had suffered four lacerations, one to the left side of his forehead approximately 1 inch long, one on the right shoulder, approximately 2 inches long, one to the back of the right wrist 1 to 1 1/2 inches long and 1 to the abdomen measuring 3 inches long (Tr. 234-235). King had gone into a state of shock and Dr. Doerhoff, a consulting surgeon at the prison, was called. He responded to the page to report to the prison hospital immediately and arrived at approximately 3:45 p.m. (Tr. 241).

Dr. Bowers attempted to start an I.V. for fluids because of King's "shocking condition", and prior to Doerhoff's arrival, Dr Bowers, while wearing sterile gloves, checked the wound of the abdomen by placing his fingers into it to see how deep it ran (Tr. 237-238). Support measures were attempted for the victim such as placing him on oxygen, an attempted "cut down" into the arm, and the administration of medication to keep the blood pressure up in the attempted I.V. (Tr. 137-239). Dr. Bowers examined the victim without a cap, mask, or having dressed out as he stated there was not time (Tr. 239).

Dr. Doerhoff arrived and immediately went to the emergency room where he was met by Dr. Bowers, guards, the operating personnel and the patient. Dr. Doerhoff conducted a quick examination which revealed multiple stab wounds. At the time of his arrival, the operating crew was attempting to bring King out of shock by resuscitation. Dr. Doerhoff instituted tubes into the lungs and started additional I.V.s (Tr. 235, 242). The victim was not responding to any of the support measures and, as Dr. Bowers had indicated that the abdomen wound had penetrated the diaphram, Dr. Doerhoff assumed that a stab wound had occurred to the heart and opened the abdomen and chest a sufficient amount in the emergency room to get control of the situation by placing his hand at the bottom of the heart and pressing the heart against the chest wall. This massage stimulated the heart which had previously been barely beating. Prior to this massage, the victim had no blood pressure (Tr. 243). Once the heart had been stimulated, Dr. Doerhoff did his own examination of the wound to the stomach. His examination revealed to him a cut through the stomach, liver and a laceration almost an inch long to the inferior vena cava. The inferior vena cave is located near the backbone and just a little right of the center of the body (Tr. 244-246). Because of the size of the wound, and the realization of the location of the cut, Dr. Doerhoff clamped the inferior vena cava shut with his hand and held the pressure on it until the staff had moved the victim into the operating room. At this time, the victim was described as being essentially unresponsive. Dr. Doerhoff described the victim as "dead technically". Following the closing off of the vein, the victim responded enough that it was necessary to put him on an anthesia (Tr. 246).

During the treatment in the emergency room following the operation, the victim was given thirteen pints of blood. Three or four pints were given to the victim prior to the operation, two following the operation, and eight during the

operation (Tr. 249). The victim also required approximately 24 pints of other fluids (Tr. 249). Dr. Doerhoff testified that a similar injury to the vena cava with no other injuries involved is 90 percent fatal and that he could not recall any one surviving such a wound more than an hour without receiving medical attention (Tr. 250-251). Dr. Doerhoff also testified that when he began his surgical procedures, he was dressed in a scrub suit and white lab coat from St. Mary's Hospital with no mask or cap on, but with surgical gloves that were sterile (Tr. 254). Dr. Doerhoff stated that, "We had the choice of a sterile condition or a dead patient." (Tr. 255). Dr. Doerhoff then testified what impact the wound would have had on the body's priority system of shutting down certain organs in order to perserve the blood supply for other organs which are necessary for the immediate survival of the victim (Tr. 252).

The victim was moved to the University of Missouri Medical Center where other operations were performed upon him (Tr. 267, 268, 275-276). The victim subsequently died and Lowery Kercher Arnold, M.D., a pathologist, who conducted an autopsy on the victim on April 29, 1979, opined that the cause of death was "a generalized infection ultimately secondary to a stab wound in the abdomen" (Tr. 265, 276).

Petitioner adduced no evidence in his own behalf.

The jury retired and considered his verdict at 2:20 p.m. on May 6, 1979, and returned at 3:09 p.m. with the verdict of capital murder as submitted in state instructions no. 4 (Tr. 308).

After a brief recess, phase two of the bifurated proceeding was commenced. During this proceeding, certain exhibits were marked for identifications and admitted into evidence. One of these exhibits was a certified transcript of the serial record of the Missouri State Penitentiary pertaining to the petitioner and showing him that he had been received there on January 4, 1979, for the conviction of murder first

degree from the Jackson County Circuit Court and that the sentence was for life. Also, Harry Lauf, records officers at the Missouri State Penitentiary testified that on March 14, 1979, petitioner was a prisoner lawfully restrained within the Department of Corrections. (Tr. 315).

As already indicated, Urban Lock testified over the objection of the petitioner as to the conduct of a statement made by petitioner to this officer (Tr. 316). The admissibility of this statement is not here challenged, and a brief resuscitation of what petitioner stated to Urban Lock would suffice for the purposes of this response. Lock asked the petitioner if he had stabbed King and the petitioner replied yes. When asked why, the petitioner said that his brother, Martin Bolder, had been murdered in a time when King was his cell partner. The man who had murdered Martin was related to King and that after King moved out of the petitioner's cell, the petitioner learned that King had known the identity of Martin's assailant. Petitioner said that he had never forgiven King for not informing him of this. Also, petitioner stated that other inmates informed him that King was harassing Frank Lindsay, petitioner's new cell partner, following King's moving out of petitioner's cell. King was saying that Lindsay and the petitioners were having homosexual activities and relations and so the petitioner had beaten King up in a fist fight. Petitioner also told Lock that on March 14, he had been walking by the 5 B Housing Unit where King was sitting on a ledge. As petitioner walked by, King called him a "pussy assed nigger" which the petitioner did not like (Tr. 320). The petitioner then left and went to an upper yard to get a knife, put it in a plastic bag and returned to the area five to ten minutes later. He then asked King what he had said and King repeated it. Petitioner pulled out the knife and stabbed King. Lock stated that the petitioner seemed to be cool and calm at the time of the statements (Tr. 320-321).

The petitioner adduced no evidence in his own behalf at the penalty phase of the trial (Tr. 324).

Instruction No. 16 was read to the jury and the state and defense counsel made closing argument (Tr. 324-329). A recess was then called so that the jury could have dinner. Court reconvened at 7:40 p.m. and the jury was read the remaining instructions (Tr. 333). The instructions given to the jury in the penalty phase are attached hereto as Appendix A. The jury retired to consider what penaltys to assess at 7:53 p.m. and returned at 9:42 p.m., having assessed petitioner's punishment at death. The jury found the aggravating circumstance submitted in instruction no. 18, that the petitioner was in custody in a lawful place of confinement when he committed the murder. The jury also found beyond a reasonable doubt that the petitioner had a prior criminal conviction for murder in the first degree and it "appears the life sentence was no deterrent to further crime" (Tr. 334; Appendix A). A motion for retrial was filed by defense counsel on May 20, 1980, following the filing of a motion for a new trial pro se by the petitioner. The motions for new trial were overruled on July 11, 1980, and petitioner was sentenced to death in conformity with the jury verdict on that date. Petitioner then appealed his conviction to the Supreme Court of Missouri which affirms said conviction on July 6, 1982, having found that the penalty was not disproportionate to the crime under the Supreme Court statutory duty to review each death sentence (petition for writ of certiorari appendix A). Petitioner now seeks a writ of certiorari in this court.

ARGUMENT

THE JUDGEMENT OF DEATH IMPOSED AGAINST PETITIONER IS NOT EXCESSIVE NOR DISPROPORTIONATE, NOR VIOLATIVE OF ANY OF HIS CONSTITUTIONAL RIGHTS, AS THE JURY'S VERDICT FORM SPECIFICALLY SET OUT AN AGGRAVATING CIRCUMSTANCE ENUMERATED IN § 565.012.2(1-10), RSMo L(&*, WHICH WAS FOUND BY THE JURY BEYOND A REASONABLE DOUBT, AS WELL AS ANOTHER AGGRAVATING CIRCUMSTANCE AUTHORIZED BY LAW WHICH WAS FOUND BY THE JURY UNANIMOUSLY AND BEYOND A REASONABLE DOUBT, WHICH SHOWS THAT THE JURY FOLLOWED THE GUIDELINES GIVEN TO IT BY THE COURT IN TAILORING ITS SENTENCE TO THIS PARTICULAR DEFENDANT IN LIGHT OF THE CRIME COMMITTED.

In his petition for a writ of certiorari, petitioner contends that his sentence of death is unconstitutional as the jury went outside its instructions and found an aggravating circumstance not set forth in § 565.012.2(1-10), supra, and that the aggravating circumstance found by the jury and contained in that statutory provision that this offense was committed while the petitioner was in a place of lawful confinement subjects him, because of his status alone as an inmate, to a more severe penalty than any other member of society. Petitioner invites this court to review his death sentence and make it clear to all the courts in and various the states that a sentence of death "based upon wide jury discretion and faulty findings" is constitutionally infirm. Respondent contends that the sentence of death is not disproportinate nor excessive given this particular petitioner and the crime committed, and the jury correctly followed its guidelines contained in the statutory scheme enacted in Missouri which is similar, if not identical, to that scheme previously examined by this court in Gregg v. Georgia, 428 U.S. 153, 96 S.Ct. 2909, 49 L.Ed.2d 859 (1976).

In the instant cause, petitioner was convicted of capital murder of a fellow inmate at a time when the state's evidence showed that both were incarcerated at the Missouri State Penitentiary. Following the finding of guilt, the

second phase of the bifurcated system provided for by the Missouri statutes commenced. At that point in petitioner's trial, evidence of his prior conviction for murder first degree and the life sentence imposed was presented to the jury. Also presented was petitioner's own statement of what had occurred just prior to the murder between himself and the murder victim. An examination of the verdict form returned by the jury demonstrates that they did find an aggravating circumstance set forth in § 565.012.2 beyond a reasonable doubt. That aggravating circumstance being that "the capital murder was committed by a person in...the lawful custody of a peace officer a place of lawful confinement". Once the jury was required to find beyond a reasonable doubt an aggravating circumstance and found that aggravating circumstance beyond a reasonable doubt, it is permitted to consider the punishment of death. However, just as in the statutory scheme found constitutional in Georgia, a jury in Missouri may not assess the penalty of death unless it finds beyond a reasonable doubt one of the ten aggravating circumstances in the scheme to exist. Thus, the aggravating circumstance is not itself sufficient to justify imposition of the death, but serves as a threshold requirement to consideration of the penalty. Such was the case in Spinkellink v. Wainwright, 578 F.2d 582, 609 (5th Cir. 1978), cert. denied 440 U.S. 976 (1979), reh. denied, 441 U.S. 937 (1979).

The purpose of the aggravating circumstance in the statutory schemes is to narrow the number of cases in which the death penalty may be considered and to create a meaningful distinction between the case wherein a death penalty may be imposed without being done so arbitrarily from those cases where it is not to be imposed. Proffitt v. Florida, 428 U.S. 242, 253, 96 S.Ct. 2960, 49 L.Ed.2d 973 (1976). Respondent suggests at first that the Missouri statutory scheme properly "guides and focuses the jury's objective consideration of the "'individual offense and the individual offender before

it can impose the sentence of death." See Jurek v. Texas, 428 U.S. 262, 274, 96 S.Ct. 2950, 49 L.Ed.2d 929 (1976).

Prior to imposing the death sentence upon any defendant, the constitution of the United States requires that a process be used which accords significance to relevant facets of the character and record of the individual offender or the circumstances of the particular offense. Woodson v. North Carolina, 428 U.S. 280, 304-305, 96 S.Ct. 2978, 49 L.Ed.2d 944 (1976). Respondent suggests to this Court that allowing the jury to consider prior convictions of a defendant against whom a death penalty is sought is relevant and just the type of facet of character and history as this court suggested was necessary to determine whether or not a death sentence was appropriate in Woodson v. North Carolina, supra.

That the jury which assessed the petitioner's penalty at death was not permitted to impose a sentence in violation of its guidelines from the Court may be seen by reviewing the instructions which the Missouri Supreme Court has prepared pursuant to its constitutional authority. (Appendix A). In a separate instruction, the jury was informed that it could consider the appellant's prior criminal conviction for murder and use that as an additional aggravating circumstance if it so desired. However, the jury was charged only to consider the circumstance of prior criminal conviction for the murder in the event that it had found the aggravating circumstance existing in instruction no. 18. Thus, the jury was required, before it could impose death, to meet the threshold requirement of finding an aggravating circumstance as submitted in Instruction No. 18 beyond a reasonable doubt. Respondent submits to this Court that a prior criminal conviction for murder is not extenuating or mitigating, but rather an aggravating circumstance properly instructed upon under § 565.006.2 and 565.012.1(3), supra. Petitioner has ignored the requirement of § 565.012.1(3), supra, that the

judge include in his instructions for the jury to consider "any mitigating or aggravating circumstances otherwise authorized by law." In § 565.006.2, evidence of the defendant's prior criminal record and any criminal convictions is to be admitted at the hearing on punishment. It is clear from the reading of these two sections of the Missouri statutory scheme that the death penalty may be imposed only if one of the statutory aggravating circumstances set forth in § 565.012, is first found to exist by the jury. The jury is then permitted to consider prior criminal convictions as an aggravating circumstance otherwise authorized by law. The jury in the case at bar found beyond a reasonable doubt that the petitioner was a prisoner at the Missouri State Penitentiary at the time that he committed an assault upon Theron King resulting in King's death. The jury also found that petitioner had previously been convicted of murder first degree.

Respondent submits that the verdict of death is not the result of the jury going beyond the instructions given to it by the trial court as the instructions directed the jury's attention to first an aggravating circumstance set forth in § 565.012(9), supra, and then to petitioner's prior criminal record.

Before a death penalty may be imposed, it is necessary that the penalty be tailored after a "jury's objective consideration of the particularized circumstances of the individual offense and the individual offender..." Jurek v. Texas, supra. If a capital sentencing procedure properly guides and focuses the jury's consideration upon the individual offender and his crime, it will pass constitutional muster. The end sought when setting up proper guidelines for the trier of fact's determination of the appropriate penalty is a meaningful distinction being drawn between the case where the death penalty may be imposed and one where it cannot be imposed without being done so arbitrarily. Proffit v.

Florida. supra. Respondent suggests that a simple reading of the jury's verdict assessing the death penalty, which is included at the end of this response as appendix B, clearly indicates that the jury followed its instructions and tailored its punishment for this particular defendant. The jury wrote, at the bottom of its verdict form, the following:

> "We have unanimously determined that at the time of the murder of Theron King, the defendant was in the lawful custody of a place of confinement submitted in instruction no. 18. We have also found without reasonable doubt that the defendant has a prior criminal conviction for murder in the first degree, and appears the life sentence was no deterrent to further crime."

Given this language at the bottom of the verdict form, and considering the complete message written on the verdict form by the jury, it surely cannot be said that the jury went beyond its instructions by considering an aggravating circumstance created by itself. Rather, this jury followed the Missouri statutory scheme which directs and focuses the jury's attention upon facets of the character of the defendant and the particular offense committed. Thus, the respondent suggests to this Court that petitioner's first argument as to why he should be granted a writ of certiorari for review by this court is without merit. This is so given the nature and the purpose of aggravating circumstances which is not themselves to be sufficient to impose the death sentence, but to provide a threshold requirement before the jury may even consider a death penalty.

Even assuming that the jury imposed the death sentence in this case soley on petitioner's status as an inmate and were permitted to do so by the instructions, it was recognized that this is an appropriate penalty for prison murders in Gregg v. Georgia, 428 U.S. 153, 185-186, 96 S.Ct. 2909, 49 L.Ed.2d 859 (1976). The jury obviously considered the individual for it to have imposed punishment as is indicated by the note at the bottom of the verdict form. Additionally, as this court suggests that a state may be justified in requiring

a mandatory capital penal for this type of crime and criminal, Woodson v. North Carolina 428 U.S. at p. 287, n. 7, respondent suggests that petitioner has suffered no violation of his federal constitutional rights which would require a granting of a writ of certiorari for the review of his sentence of death.

THE JUDGEMENT OF DEATH IS NOT EXCESSIVE, DISPROPORTINATE, OVERBROAD OR OVERINCLUSIVE NOR VIOLATIVE OF ANY OF PETITIONER'S RIGHTS AS IT WAS NOT IMPOSED SOLELY AS THE RESULT OF FINDING THAT THE PETITIONER WAS A PERSON LAWFULLY CONFINED IN A PLACE OF INCARCERATION AS THAT IS ONLY A THRESHOLD REQUIREMENT BEFORE THE JURY MAY CONSIDER THE DEATH PENALTY UNDER THE MISSOURI STATUTORY SCHEME AND THE JURY IN THE INSTANT CAUSE WAS SO INSTRUCTED.

As petitioner correctly points out, one of the aggravating circumstances set forth in § 565.012.2(9), supra, is that the "capital murder was committed by a person in...the lawful custody of a peace officer or place of lawful confinement." However, respondent disagrees with petitioner's assertion that this aggravating circumstance subjected petitioner, merely because of his status as an inmate. to a more severe penalty than any other member of society regardless of the nature of the crime. As previously pointed out, a review of the instructions given to the jury in this case, which were prepared by the Missouri Supreme Court in accordance with the constitutional authority and which are reproduced for this Court's convenience as Appendix A of this response, will demonstrate that the jury was informed that they must first find that petitioner was lawfully incarcerated at the time of the offense before it could consider the death penalty. The jury was informed further that it must unanimously decide that the death penalty was an appropriate punishment for this petitioner before it could impose the death sentence. The jury was informed of a prior convicition of petitioner's

during the hearing on the penalty to be imposed. The jury was required before it could consider that prior conviction to find unanimously that the petitioner did have a prior conviction for murder. On the bottom of the verdict form, the jury wrote that a life sentence had deterred petitioner from committing the murder. Respondent takes exception with petitioner's interpretation of the language appearing at the bottom of the verdict form and suggest to this court that this message from the jury clearly indicates an intention to tailor the punishment to the petitioner given his reaction to a prior life sentence being imposed for a homicide of the same nature and quality as the instant offense, but not labeled as capital murder. Given that this was a murder by one who had already been found guilty of murder in the first degree and received a life sentence, respondent suggests to this court that petitioner was not sentenced as a result of his status and the Missouri statutory scheme does not permit same. The examination of the instructions as set forth and promulgated by the Missouri Supreme Court in accordance with the plain language of §§ 565.006, 565.012, and 565.014, supra, supports this assertion. Respondent suggests to this Court that petitioner is not entitled to the issuance of the writ of certiorari for the review of his death sentence.

CONCLUSION

In view of the foregoing, the respondent respectfully submits that petitioner's petition for writ of certiorari should be denied.

Respectfully submitted,

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INSTRUCTION NO. 13

You have found the defendant guilty of capital murder. At this stage of the trial it will be your duty to determine within the limits prescribed by law the punishment which must be imposed for that offense.

The punishment prescribed by law for capital murder is either death or imprisonment for life by the Division of Corrections without eligibility for probation or parole until the prisoner has served a minimum of fifty years of his sentence.

Given
5/4/00
AGS

INSTRUCTION NO. 14

This stage of the trial will proceed as follows:

First, the Court will read to you one further instruction of law for your guidance. Next, the attorney for the state must make an opening statement outlining what evidence the state expects to introduce at this time with respect to the punishment to be imposed. The defendant's attorney is not required to make an opening statement then or at any other time. However, if he chooses to do so, he may make an opening statement after that of the state, or he may reserve his opening statement until the conclusion of the state's evidence.

Evidence will then be introduced which, with the evidence you have already heard, may be considered by you insofar as it bears upon the punishment to be fixed by the jury.

Next, the attorneys may make their arguments to you regarding the punishment to be imposed, after which the Court will read to you further written instructions concerning the law applicable to this stage of the proceedings.

You will then be given the written instructions of the Court to take with you to your jury room. You will go to that room, deliberate and arrive at your verdict fixing the punishment to be imposed upon the defendant.

Given
5/4/80

INSTRUCTION NO. 15

It is your duty to follow the instructions and the law as the Court gives them to you. However, no statement, question, ruling or remark that I have made or may make during the entire trial is intended to indicate my opinion of what the facts are or what the punishment should be. That will be your responsibility. In performing that duty you alone must decide upon the believability of the witnesses and the weight and value of the evidence.

You will recall that the Court told you at the first stage of this trial that you must not assume as true any fact solely because included in or suggested by a question asked a witness. A question is not evidence, and may be considered only as it supplies meaning to an answer.

Given
1-28.

INSTRUCTION NO. 14

You will now hear argument by the prosecuting attorney and by counsel for the defendant regarding the punishment to be imposed. Their arguments are intended to help you in understanding the evidence and applying the law, but they are not evidence.

You will bear in mind that it is your duty to be governed by the evidence as you remember it, the reasonable inferences which you believe should be drawn, and the law as given in instructions of the Court.

After counsel have argued the matter, the Court will give you further and final instructions concerning the law relating to the punishment to be imposed upon the defendant and to render such verdict under the law and the evidence.

The state's attorney must open the argument. Counsel for the defendant may then make his argument. No further argument is permitted by either side.

Given
5/4/80
SEA

INSTRUCTION NO. 17

The law applicable to this stage of the trial is stated in these instructions and those which were read to you when we began this phase of the case. All of these instructions will be given to you to take to your jury room for use during your deliberations.

You must not single out certain instructions and disregard others or question the wisdom of any rule of law.

I do not mean to assume as true any fact referred to in these instructions but leave it to you to determine what the facts are and what the punishment should be.

5/4/89

INSTRUCTION NO. 18

In determining the punishment to be assessed against the defendant for the murder of Theron King, you must first unanimously determine whether at the time of the murder of Theron King the defendant was in the lawful custody of a place of confinement.

You are further instructed that the burden rests upon the state to prove beyond a reasonable doubt the foregoing circumstance and that it is an aggravating circumstance. The defendant is not required to prove or disprove anything.

Therefore, if you do not unanimously find from the evidence beyond a reasonable doubt that the foregoing circumstance exists and that it is an aggravating circumstance, you must return a verdict fixing the punishment of the defendant at imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a minimum of fifty years of his sentence.

INSTRUCTION NO. 19

If you find and believe from the evidence beyond a reasonable doubt that the circumstance submitted in Instruction No. 18 exists and that it is an aggravating circumstance, it will then become your duty to decide whether a sufficient aggravating circumstance or circumstances exist to warrant the imposition of death as punishment of defendant. In deciding that question you may consider all of the evidence relating to the murder of Theron King.

You may also consider the aggravating circumstance referred to in Instruction No. 15 which you found beyond a reasonable doubt.

You may also consider the following circumstance if you find from the evidence beyond a reasonable doubt that it exists and that it is an aggravating circumstance: that the defendant has a prior criminal conviction for murder in the first degree.

If you do not unanimously find from the evidence beyond a reasonable doubt that a sufficient aggravating circumstance or circumstances exist to warrant the imposition of death as defendant's punishment, you must return a verdict fixing his punishment at imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a minimum of fifty years of his sentence.

Given
5/4/90

INSTRUCTION NO. 20

If you decide that a sufficient aggravating circumstance or circumstances exist to warrant the imposition of death, as submitted in Instruction No. 19, it will then become your duty to determine whether a sufficient mitigating circumstance or circumstances exist which outweigh such aggravating circumstance or circumstances so found to exist. In deciding that question you may consider all of the evidence relating to the murder of Theron King.

You may also consider any circumstances which you find from the evidence in extenuation or mitigation of punishment.

If you unanimously decide that a sufficient mitigating circumstance or circumstances exist which outweigh the aggravating circumstance or circumstances found by you to exist, then you must return a verdict fixing defendant's punishment at imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a minimum of fifty years of his sentence.

Given
5/4/80
8:27

INSTRUCTION NO. 21

Even if you decide that a sufficient mitigating circumstance or circumstances do not exist which outweigh the aggravating circumstance or circumstances found to exist, you are not compelled to fix death as the punishment. Whether that is to be your final decision rests with you.

Given
5/4/89
PSA

INSTRUCTION NO. 22

You will be provided with forms of verdict for your convenience. You cannot return any verdict as the verdict of the jury unless all twelve jurors concur in and agree to it, but it should be signed by your foreman alone.

If you decide, after considering all of the evidence and instructions of law given to you, that the defendant must be put to death for the murder of Theron King, your foreman must write into your verdict the aggravating circumstance submitted in Instruction No. 18 which you found beyond a reasonable doubt. In addition, your foreman must write into your verdict the other specifically mentioned aggravating circumstance submitted in Instruction No. 19 which you found beyond a reasonable doubt.

If, after considering all of the evidence and instructions of law, you decide that the defendant must be punished for the murder of Theron King by imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a minimum of fifty years of his sentence, your foreman will sign the verdict form so fixing the punishment.

When you have concluded your deliberations you will complete the applicable form to which all twelve jurors agree and return it with all unused forms and the written instructions of the court.

If, after due deliberation, you are unable to agree upon the punishment, your foreman will sign the verdict form so stating. In such case, the court will fix the defendant's punishment at imprisonment for life by the Division of Corrections without eligibility for probation or parole until he has served a mininum of fifty years of his sentence. You will bear in mind, however, that under the law it is your primary duty and responsibility to fix the punishment.

Sworn

VERDICT

Jurors: Use this form only if the punishment fixed by you is death. See Instruction No. 22 for directions as to what must be written into the verdict form if the death penalty is imposed. Use the reverse side of this form if necessary. The foreman's signature must appear at the end of the matter which you designate in writing as the aggravating circumstance or circumstances which all twelve jurors found beyond a reasonable doubt.

We, the jury, having found the defendant guilty of the capital murder of Theron King, fix the defendant's punishment at death, and we designate the following aggravating circumstance or circumstances which we find beyond a reasonable doubt:

~~that it was an aggravating circumstance~~
We the jury unanimously determine at the time of the murder of Theron King the defendant was on the lawful custody of a place of confinement committed in Crockett Co. We the jury also find unanimously that the defendant has a prior criminal conviction for murder in the first degree and appears the life criminal conduct with no intent to further crime

Foreman
Victor E. Nicholson

END OF DOCKET